## Exclusive $B \rightarrow X_u$ decays with BaBar

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### Why |V<sub>ub</sub>| with exclusive decays ??



Most precise |V<sub>ub</sub>| measurements to date: inclusive B->X<sub>u</sub>

"Tension" with constraints from UT angles (e.g. sin2).

Large uncertainty on |V<sub>ub</sub>| from theory !

 (b->cl)=50×
 (b->ul) -> tight kinematical cuts
 -> difficult treatment of the Fermi motion of the b inside the B.

=> Need an alternative approach: exclusive decays !

$$|V_{ub}|$$
 from Exclusive B $\rightarrow X_u$ I Decays (x<sub>u</sub>= , , , ',...)

> Theory: more difficult to describe a specific final state an inclusive one

$$\Gamma_{ub} = \sqrt{\frac{\Delta B}{\tau_B} \Gamma_{thy}} \qquad \Gamma_{thy} = \frac{G_F^2}{24\pi^3} \int_{q_{min}^2}^{q_{max}^2} \left(f_+(q^2)\right)^2 p_\pi^3 dq^2$$

Evaluation of the  $f_{+}(q')$ Form Factor (FF): Need theo. calculations

- LQCD (q<sup>2</sup> > 16 GeV<sup>2</sup>): HPQCD[1], FNAL[2]
- LCSR (q<sup>2</sup> < 16 GeV<sup>2</sup>): Ball-Zwicky[3]
- quark model : ISGW2 [4]
- Extrapolation to the full q<sup>2</sup> range:

Ex: Becirevic-Kaidalov (BK) parameterization

$$f^+(q^2,\alpha) = \frac{f_0}{(1-q^2/m_{B^*}^2)(1-\alpha q^2/m_{B^*}^2)}.$$



- -> unquenched LQCD / LCSR: th now down to ~12% !! ( >16 / <16 GeV<sup>2</sup> ) -> But need more to reach the same precision as inclusive decays.
  - $\sim$  Submitting the set of the se

Experiments can help -> constraint on the FF shape

[1] Gulez & al, hep-lat/0601021
[2] Okamoto & al, hep-lat/0409116
[3] Ball & al, hep-ph/0406232
[4] Scora & al, hep-ph/9503486

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### Tagged and untagged analyses



## B→ I, Hadronic and semileptonic Tags

Once a BB event is tagged by finding a  $B_{tag}$ ...

- > Select  $B \rightarrow I$  signal in the recoil of  $B_{tag}$ :
  - Only one -l pair, p<sub>e</sub>(p<sub>µ</sub>) > 0.5(0.8) GeV
  - No other tracks, small residual energy
- >  $B \rightarrow l$  extracted in 3 q<sup>2</sup> bins

hadr. tag:

 $\begin{aligned} -q^2 &= (p_l + p_{miss})^2, \ p_{miss} = p_{Y(4S)} - p_{Btag} - p - p_l = p \\ -m_{ES} \ fit \ in \ m^2_{miss} \ bins \ to \ subtract \ combinatorics/ \ non \ BB \\ -N_{sig} &= \ (data - Backgrounds \ from \ MC), \\ MC \ rescaled \ with \ m^2_{miss} \ sideband \end{aligned}$ 





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**p**<sub>πl</sub>

### Combine Hadronic & Semileptonic Tags, B<sup>+</sup> & B<sup>0</sup>

> weighted averages + isospin symmetry:  $(B^{0}-> -I^{+})=2$   $(B^{+}-> -0I^{+})$ 



- > Limited by statistics !
- > Largest systematics:
  - hadronic: m<sub>ES</sub> fit, neutral and µ reconstruction
  - 1/2leptonic: Btag efficiency, cos<sup>2</sup> <sub>B</sub> shape for backgrounds
  - both: BF & FF of semileptonic backgrounds

### Untagged $B^{0}$ -> $-I^{+}$ , loose -reconstruction

### 206 fb<sup>-1</sup> , hep-ex/06xxxxx

### > Novel technique ! No tight -reconstruction cuts : Signal Yield + Purity -



## $B^{0}$ -> $^{-}I^{+}$ , loose , Signal Yield Extraction

- > 2+1 ( E,m<sub>ES</sub>; q<sup>2</sup>) extended binned max. likelihood fit  $m_{\rm ES} = \sqrt{s/4 - |\mathbf{p}_B|^2}$   $\Delta E = E_B - \sqrt{s/2}$
- > Finds **19** scaling factors to be applied to MC histograms to fit data



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## Prospects: $|V_{ub}|$ at Babar with 1/ab (end of 2008)

### > Reduction of systematic uncertainties

- improved track and neutral reconstruction
- better knowledge of b->ul backgrounds (BF and FF)
- systematics based on data/MC comparisons (loose- : continuum, tagged analyses: cos<sup>2</sup> <sub>B</sub> shape) might be reduced with higher statistics.

### Combined with ~5 times more statistics:

| Expected uncertainties, in terms of B <sup>0</sup> -> $-I^+v$ (Combine Hadr. & ½ leptonic Tags, B+& B0 ) |  |            |                        |                       |  |                              |  |  |  |  |
|--|--|------------|------------------------|-----------------------|--|------------------------------|--|--|--|--|
| method   | N <sub>sig</sub>                         | BF-stat(%) | <sub>BF-syst</sub> (%) | <sub>BF-tot</sub> (%) | Vub  <b>(%)</b><br>Full q <sup>2</sup> range | Vub  (%)<br>q²>16 GeV²       |  |  |  |  |
| Hadronic<br>tag  | B+ 130<br>B <sup>0</sup> 150             |            |                        |                       |  | $\overline{\mathbf{J}}(1,0)$ |  |  |  |  |
| 1/2 leptonic<br>tag  | B <sup>+</sup> 450<br>B <sup>0</sup> 280 | 6(13)      | 6(8)                   | 8.5(15)               | 4 (7.5)                                      | 7(13)                        |  |  |  |  |
| untagged   | 25000                                    | 2.5(5)     | 4(5)                   | 4.5(7.5)              | <b>2</b> .5(3.2)                             | 4(7)                         |  |  |  |  |
| $ V_{ub}  = (4.1 \pm 0.3^{+0.6}_{-0.4}) \times 10^{-3} - > (x.x \pm 0.1^{+0.6}_{-0.4}) \times 10^{-3}$   |  |            |                        |                       |  |                              |  |  |  |  |
| 12/14/2006 Benoit VIAUD, СКМ Full q <sup>2</sup> range which reduction can we expect here ???            |  |            |                        |                       |  |                              |  |  |  |  |



Something new by the end of 2008 ??

### Also on the way: $D \rightarrow (,K)I$

### > Test of FF calculations

> 
$$|V_{ub}|$$
 from  $\frac{d\Gamma(B \to \pi \ell \nu)/dw}{d\Gamma(D \to \pi \ell \nu)/dw} = V_{ub} \left(\frac{M_B}{M_D}\right) \left(\frac{f_+^{B \to \pi}}{f_+^{D \to \pi}}\right)^2 w = \frac{M^2 + m_\pi^2 - q^2}{2Mm_\pi}$ 

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### Summary



# Back-up

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## Theoretical side: on going work...

- > Extend FF calculations to the full q<sup>2</sup> range
  - moving NRQCD
    - non relativistic description of the b quark in a moving frame of reference (instead of B frame).
       B and in opposite directions.

 $p_b = m_b u + k$ ; u = 4 - veloctiy of  $B = p_B / m_B = \gamma(1, \bar{v})$  K.Y Wong, LAT06

exact treatment D

Discretize this (k is small)

parameterization of the FF shape (Becher & Hill, hep-ph/0509090 ;

Ball hep-ph/0611108, ...)

$$f(t) = \frac{1}{P(t)\phi(t,t_0)} \sum_{k=0}^{\infty} a_k(t_0) z(t,t_0)^k = z(t,t_0) = \frac{\sqrt{t_+ - t} - \sqrt{t_+ - t_0}}{\sqrt{t_+ - t} + \sqrt{t_+ - t_0}}$$
Accounts for Calculable function to make  $a_k$ s look simple.
$$(t = q^2 = (p_{H}-p_L)^2, t_* = (m_{H}+m_L)^2, t_* = (m_{H}-m_L)^2).$$
Unitarity ->
$$\sum_{k=0}^{n_A} a_k^2 \le 1$$
Heavy quarks, B(Iv) in powers ->
of \_{QCD}/m\_B
$$\sum_{k=0}^{\infty} a_k^2 = 0 \text{ for der } (\Lambda/m_b)^3$$

Theoretical constraints + shape from data -> very precise knowledge of the shape.

## Theoretical side: on going work...

- > Potential reduction of theoretical uncertainties: on going work in LQCD
  - improved matching between LQCD and continuum QCD (2-loops calculations or fully non-perturbative)
  - improved extrapolation to physical light quark masses ( <sub>PT</sub>)
  - smaller lattice spacings
  - various types of actions (in addition to improved staggered AsqTad action, presently used by the FNAL and HPQCD calculations we use).
  - What else ??

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=> We need the to drop from ~13% to ~6% to reach a precision similar to inclusive B-> $X_u$ IV decays:



### Leading systematics (%) (detector and Continuum)

| q <sup>2</sup> bins (GeV <sup>2</sup> ) | 0-2  | 12-14 | 22-26.4 | q2<16 | q2>16 | Total |  |
|---|------|-------|---------|-------|-------|-------|--|
| Trk eff                                 | 1.6  | 1.8   | 9.2     | 1.9   | 1.8   | 1.1   |  |
| eff                                     | 4.7  | 3.5   | 7.0     | 2.9   | 1.7   | 1.9   |  |
| K <sup>0</sup> L &<br>neutrons          | 0.9  | 1.6   | 2.8     | 1.2   | 1.4   | 1.3   |  |
| PID                                     | 7    | 2.6   | 7.0     | 2.6   | 3.6   | 2.9   |  |
| Tot Detector<br>& K <sup>0</sup> L      | 8.6  | 5.0   | 13.8    | 4.5   | 4.6   | 3.9   |  |
| Continuum<br>Yield                      | 7    | 0.6   | 4       | 1.0   | 1.6   | 1.0   |  |
| Continuum 20.3<br>shape                 |      | 1.5   | 10.2    | 2.6   | 3.2   | 2.3   |  |
|   |      |       |         |       |       |       |  |
| Total                                   | 23.7 | 7.1   | 27      | 6.3   | 7.8   | 5.7   |  |

## Untagged B-> ()I, -reconstruction PRD-RC 72, 051102 (2005)



|V<sub>ub</sub>|



Precise measurements of  $|V_{ub}|$  yield a stringent constraint on the description of CP violation by the Standard Model.

### Complementary to sin2

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### |V<sub>ub</sub>| from B> X<sub>u</sub>| Decays

> Full decay rate: clean access to  $|V_{ub}|$  (OPE+HQE:  $_{th} < 5\%$  !)



$$\Gamma(B \to_{u} \cup) X = \frac{G_{F}^{2} |V_{u}|^{2}}{1^{b} \pi^{3} 9} = \frac{1}{2} \frac{\int_{a}^{2} 1_{2} m \frac{\mu_{\pi}^{2}}{2} \frac{1}{m_{b}^{2}} \frac{\mu_{\pi}^{2}}{2} \frac{\mu_{\pi}^{2}}{2} \frac{\mu_{\pi}^{2}}{2} \frac{1}{m_{b}^{2}} O_{T}^{2} = \frac{1}{2} O_{T}^{2} O$$

<u>BUT</u>: difficult to measure: (b) cl )= $50 \times$  (b) ul ) !!

<u>1<sup>st</sup> approach</u>: Inclusive selection, tight cuts (e.g.  $E_1 > E_{cut}$ )

- $|V_{ub}|$  from partial BF:  $|V_{ub}|$  = ( BF/ ...) = x.xx±x.xx (world ave)
- Most precise approach up to now, but <u>large contribution from</u> th.
   sensitive to the Fermi motion of the b inside the B (difficult to evaluate)
  - -> good to have an alternative approach...

<u>2<sup>nd</sup> approach</u>: Exclusive decays

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### B> I, Hadronic Tag

- Tag BB event with B<sub>tag</sub>: fully reconstructed hadronic decay
- > Select B> 1 signal in the recoil of B<sub>tag</sub>:
  - one 1 pair : p<sub>e</sub>(p<sub>µ</sub>) > 0.5(0.8) GeV
  - No other tracks, E<sub>res</sub> small
- > Full reco. of  $B_{tag} = >$  precise reconstruction
  - E,  $m_{ES, i} p_{miss} = p_{Y(4S)} p_{Btag} p_{i} p_{i}$ ,  $m^{2}_{miss}$
  - $q^2 = (p_1 + p_1)^2 = (p_1 + p_{miss})^2$

### B) I extracted in 3 q<sup>2</sup> bins

- m<sub>ES</sub> fit in m<sup>2</sup><sub>miss</sub> bins to subtract combinatorics/non BB events
- other backgrounds: MC, rescaled with m<sup>2</sup><sub>miss</sub> sideband
- BF(B) I ) from the ratio of B-> I yields to B->XI yields + BF(B->XI ): syst



#### B> I, semileptonic Tag

- Tag BB event with  $B_{tag}$ : B->D(\*)I
  - $D^{0} \rightarrow K^{-}(+, 3, +, +, 0), \tilde{K}^{0}_{s} + -; D^{+} \rightarrow K^{-}2; D^{(*+)} \rightarrow D^{+/0}_{s} = 0$
  - $m_D$ ,  $|p_l| > 0.8$  GeV, DI vertex,...
- Select B> 1 signal in the recoil of B<sub>tag</sub>:
  - one | pair :  $p_1 > 0.8 \text{ GeV}$
  - no other tracks, E<sub>res</sub> small
- $q^2 = (m_R E)^2 |p|^2$  (assume B at rest in Y(4S) frame)
- Signal extraction: global event topology, 3 q<sup>2</sup> bins >



bal event topology, 3  $q^2$  bins Fit to  $\cos^2 g$ : - simultaneous to data and MC, -  $M_D$  sideband included to constrain p<sub>n</sub> combinatorial background

- BF(B) 1): from MC + data control samples >
  - events with 2 non-overlapping B<sub>tag</sub>'s



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### 5 Untagged B<sup>0</sup>-> <sup>-</sup>I<sup>+</sup>, loose -reconstruction

- > Novel technique ! No tight -reconstruction cuts : Signal Yield ^ Purity
  - **I** pair: tight and I ID criteria,  $|p_{e(\mu)}| > 0.5(1)$  GeV,  $|\cos_{BY}| < 1$
  - Topology cuts to reduce non BB events.
  - Cuts optimized as a function of q<sup>2</sup>: Angle between Y and rest of event thrust axes, p<sub>miss</sub> polar angle and m<sup>2</sup><sub>miss</sub>, W helicity angle



### B> I, Hadronic and semileptonic Tags

Once a BB event is tagged by finding a  $B_{tag} \ldots$ 

- > Select B> 1 signal in the recoil of  $B_{tag}$ :
  - Only one -l pair, No other tracks, small residual energy
- > B> l extracted in 3 q<sup>2</sup> bins

hadr. tag:

 $-q^2 = (p_l + p_{miss})^2$ ,  $p_{miss} = p_{Y(4S)} - p_{Btag} - p - p_l = p$ 

 $-m_{ES}$  fit in  $m_{miss}^2$  bins to subtract combinatorics/ non BB -other backgrounds: MC, rescaled with  $m_{miss}^2$  sideband

- BF(B> 1) from the ratio of B-> | yields to B->XI yields + BF(B->XI): syst

<u>1/2-lep. tag</u>:

- $-q^2 = (m_B E)^2 |p|^2$  (hypo: B at rest in Y(4S) frame) -Fit to  $\cos^2_B$
- BF(B) 1 ): efficiency from MC & data control samples (events with 2 non-overlapping  $B_{tag}$ 's)



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## few definitions...

$$m_{\rm ES} = \sqrt{s/4 - |\mathbf{p}_B|^2} \,\Delta E = E_B - \sqrt{s/2}$$
$$\cos\theta_{BY} = (2E_B E_Y - m_B^2 - m_Y^2)/(2|\mathbf{p}_B||\mathbf{p}_Y|)$$
$$\cos^2\phi_B = \frac{\cos^2\theta_{BY} + \cos^2\theta_{B\pi\ell} + 2\cos\theta_{BY}\cos\theta_{B\pi\ell}\cos\gamma}{\sin^2\gamma}$$

In the Y(4S) frame:  $E_B$ ,  $p_B$ = nominal values of the B energy and momentum, from 4-mom. conservation  $p_Y = p + p_I$ 

 $|\cos_{BY}|$  and  $|\cos^2_{B}| < 1$  if the 's are the only undetected particles...



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 $^{2}$  = 423 for 389 d.o.f

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| Results: F                                  | Rel  | at   | ive  | È    | rro  | ors     | on      |       | BF(   | q <sup>2</sup> ) | )     |           |            |            |       |
|---|------|------|------|------|------|---------|---------|-------|-------|------------------|-------|-----------|------------|------------|-------|
| $q^2$ bins (GeV <sup>2</sup> )              | 0-2  | 2-4  | 4-6  | 6-8  | 8-10 | 10 - 12 | 12 - 14 | 14-16 | 16-18 | 18-20            | 20-22 | 22 - 26.4 | $q^2 < 16$ | $q^2 > 16$ | Total |
| Fit error                                   | 15.2 | 14.4 | 12.8 | 14.8 | 15.4 | 19.2    | 13.9    | 25.2  | 17.6  | 28.5             | 20.2  | 27.7      | 5.3        | 10.3       | 4.8   |
| Trk eff                                     | 1.6  | 1.7  | 1.3  | 3.1  | 3.8  | 1.3     | 1.8     | 7.1   | 2.3   | 1.7              | 2.2   | 9.2       | 1.9        | 1.8        | 1.1   |
| $\gamma$ eff                                | 4.7  | 1.3  | 2.6  | 5.0  | 3.6  | 3.2     | 3.5     | 3.1   | 3.0   | 3.5              | 3.8   | 7.0       | 2.9        | 1.7        | 1.9   |
| $K_L^0$ & neutrons                          | 0.7  | 0.6  | 0.7  | 1.0  | 1.2  | 1.2     | 1.2     | 1.3   | 2.0   | 1.5              | 1.1   | 2.2       | 0.5        | 1.0        | 0.6   |
| PID   | 7.0  | 2.5  | 2.1  | 1.9  | 0.7  | 2.7     | 2.6     | 2.5   | 2.6   | 3.4              | 2.9   | 7.0       | 2.6        | 3.6        | 2.9   |
| Continuum yield                             | 7.0  | 0.5  | 0.6  | 0.2  | 0.9  | 1.0     | 0.6     | 0.8   | 0.6   | 1.9              | 1.1   | 4.0       | 1.0        | 1.6        | 1.0   |
| Continuum $q^2$                             | 20.1 | 1.5  | 1.0  | 1.0  | 1.7  | 1.8     | 1.5     | 2.0   | 1.5   | 3.3              | 4.0   | 8.7       | 2.4        | 1.9        | 1.8   |
| Continuum $m_{\rm ES}$                      | 1.1  | 0.3  | 0.1  | 0.1  | 0.1  | 0.1     | 0.3     | 0.6   | 0.3   | 0.6              | 0.7   | 1.0       | 0.2        | 0.5        | 0.2   |
| Continuum $\Delta E$                        | 3.0  | 1.6  | 0.5  | 1.0  | 1.5  | 0.2     | 0.1     | 1.2   | 0.6   | 1.8              | 3.8   | 5.2       | 1.0        | 2.5        | 1.4   |
| $b \rightarrow u \ell \nu$ BF               | 1.2  | 1.4  | 0.7  | 0.7  | 1.0  | 1.4     | 1.1     | 1.8   | 1.7   | 3.6              | 10.4  | 12.1      | 0.9        | 3.4        | 1.2   |
| SF param                                    | 0.4  | 0.5  | 0.3  | 0.5  | 0.1  | 0.1     | 0.1     | 0.4   | 0.4   | 4.1              | 5.7   | 14.9      | 0.2        | 2.1        | 0.7   |
| $B \rightarrow \rho \ell \nu \ FF$          | 1.3  | 0.7  | 1.8  | 1.1  | 0.8  | 1.1     | 1.2     | 3.2   | 0.5   | 3.3              | 1.3   | 4.3       | 0.9        | 0.8        | 0.6   |
| $B^0 \rightarrow \pi^- \ell^+ \nu FF$       | 0.4  | 0.5  | 0.5  | 0.5  | 0.5  | 0.5     | 0.5     | 0.8   | 0.7   | 0.7              | 1.1   | 3.5       | 0.5        | 1.3        | 0.7   |
| FSR   | 0.7  | 1.5  | 2.2  | 1.9  | 2.6  | 2.7     | 2.2     | 0.6   | 2.5   | 2.0              | 1.3   | 1.1       | 1.9        | 1.8        | 1.9   |
| $b \rightarrow c \ell \nu \text{ BF}$       | 1.8  | 2.1  | 1.1  | 2.2  | 4.6  | 1.2     | 2.4     | 2.2   | 1.3   | 2.5              | 1.7   | 2.2       | 0.9        | 1.0        | 0.8   |
| $B \rightarrow D^* \ell \nu$ FF             | 0.7  | 1.1  | 0.1  | 1.6  | 3.1  | 0.8     | 1.4     | 1.0   | 0.9   | 1.2              | 0.6   | 2.7       | 0.7        | 0.4        | 0.6   |
| $B \rightarrow D \ell \nu$ FF               | 1.7  | 1.2  | 0.7  | 0.3  | 2.2  | 0.4     | 0.1     | 0.7   | 0.6   | 0.9              | 0.4   | 0.7       | 0.1        | 0.4        | 0.2   |
| $\Upsilon(4S) \rightarrow B^0 \bar{B^0} BF$ | 2.1  | 2.5  | 1.5  | 1.5  | 1.3  | 1.7     | 1.6     | 1.2   | 1.6   | 1.0              | 2.4   | 1.9       | 1.7        | 1.7        | 1.7   |
| $D \rightarrow X \ell \nu$ BF               | 2.3  | 2.8  | 1.1  | 1.3  | 1.6  | 1.1     | 1.1     | 0.9   | 0.6   | 0.9              | 1.0   | 0.8       | 0.4        | 0.5        | 0.3   |
| $D \rightarrow K_L^0$ BF                    | 0.6  | 1.7  | 2.3  | 1.5  | 2.0  | 2.3     | 1.0     | 4.2   | 1.8   | 3.9              | 1.0   | 1.7       | 1.1        | 1.0        | 1.1   |
| B counting                                  | 1.1  | 1.1  | 1.1  | 1.1  | 1.1  | 1.1     | 1.1     | 1.1   | 1.1   | 1.1              | 1.1   | 1.1       | 1.1        | 1.1        | 1.1   |
| Signal MC stat error                        | 1.5  | 1.7  | 1.6  | 1.9  | 1.6  | 1.9     | 1.4     | 1.8   | 1.4   | 1.6              | 1.3   | 1.5       | 0.5        | 0.6        | 0.4   |
| Total syst error                            | 23.7 | 7.0  | 6.2  | 8.1  | 9.6  | 7.3     | 7.1     | 11.0  | 7.0   | 11.0             | 14.9  | 27.0      | 6.3        | 7.8        | 5.7   |
| Total error                                 | 28.2 | 16.1 | 14.2 | 16.9 | 18.2 | 20.5    | 15.6    | 27.5  | 19.0  | 30.6             | 25.1  | 38.7      | 8.2        | 12.9       | 7.5   |

-Dominant syst. errors: Detector effects, Continuum description
 -Fit of the backgrounds yields in several q<sup>2</sup> bins (thanks to high statistics due to loose ) => reduced systematic error due backgrounds BF and FF.

12