

# $V_{us}$ from kaon decays

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**for the FlaviaNet Working Group on Kaon Decays**

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<http://www.Inf.infn.it/wg/vus>

**FlaviaNet** Working Group on Precise SM Tests in K Decays **FlaviaNet**

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Branching Ratios  
Lifetimes  
Form Factors  
Radiative Corrections  
SU(3) Breaking  
Form Factors  
Contacts

News  
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# $K_{l3}$ decays, $V_{us}$ , and CKM unitarity

At present, most precise test of CKM unitarity is from 1<sup>st</sup> row:

$$|V_{ud}|^2 + |V_{us}|^2 + |V_{ub}|^2 \equiv 1 - \Delta$$

$0^+ \rightarrow 0^+ \beta$  decays:  $2|V_{ud}|\delta V_{ud} = 0.0005$   
 $Kl3$  decays:  $2|V_{us}|\delta V_{us} < 0.0010$

→ 2002  
(2004 PDG)

Old  $Kl3$  data give  $\Delta = 0.0035(15)$   
A  $2.3\sigma$  hint of unitarity violation?

2003

BNL 865 measures  $\text{BR}(K^+ \rightarrow \pi^0 e^+ \nu) = 5.13(10)\%$   
Value for  $V_{us}$  consistent with unitarity

2004-2006

Many new measurements from KTeV, KLOE, ISTRA+, NA48

- BRs, lifetimes, form-factor slopes
- Much higher statistics than older measurements
- Importance of radiative corrections
- Proper reporting of correlations between measurements

2005 CKM  
WG1 report

$|V_{us}|f_+(0) = 0.2173(8)$ : unitarity to better than  $1\sigma$   
Includes many (but not all) of these important developments

This talk

Update with all recent measurements (even if preliminary)

# Determination of $V_{us}$ using $K_{l3}$ rates

$$\Gamma(K_{l3(\gamma)}) = \frac{C_K^2 G_F^2 M_K^5}{192\pi^3} S_{EW} |V_{us}|^2 |f_+^{K^0\pi^-}(0)|^2 \times I_{Kl}(\{\lambda\}_{Kl}) (1 + 2\Delta_K^{SU(2)} + 2\Delta_{Kl}^{EM})$$

with  $K \in \{K^+, K^0\}$ ;  $l \in \{e, \mu\}$ , and:

$C_K^2$  1/2 for  $K^+$ , 1 for  $K^0$

$S_{EW}$  Universal SD EW correction (1.0232)

## Inputs from theory:

$f_+^{K^0\pi^-}(0)$  Hadronic matrix element (form factor) at zero momentum transfer ( $t=0$ )

$\Delta_{SU(2)}^K$  Form-factor correction for  $SU(2)$  breaking

$\Delta_{EM}^{Kl}$  Form-factor correction for long-distance EM effects

## Inputs from experiment:

$\Gamma(K_{l3(\gamma)})$  Rates with well-determined treatment of radiative decays:

- Branching ratios
- Kaon lifetimes

$I^{Kl}(\{\lambda\}_{Kl})$  Integral of form-factor over phase space:  $\lambda$ s parameterize evolution in  $t$

- $K_{e3}$ : Only  $\lambda_+$  (or  $\lambda_+' , \lambda_+''$ )
- $K_{\mu3}$ : Need  $\lambda_+$  and  $\lambda_0$

# $K_L$ branching ratios

**KTeV**  
PRD 70 (2004)

5 ratios of main BRs from independent samples of  $10^5$ - $10^6$  events collected with a single trigger

2-track ratios

$$\text{BR}(K_{\mu 3}/K_{e3}) = 0.6640(26)$$

$$\text{BR}(\pi^+\pi^-\pi^0/K_{e3}) = 0.3078(18)$$

$$\text{BR}(\pi^+\pi^-/K_{e3}) = 0.004856(28)$$

Neutral ratio

$$\text{BR}(2\pi^0/3\pi^0) = 0.004446(25)$$

Mixed ratio

$$\text{BR}(3\pi^0/K_{e3}) = 0.4782(55)$$

6 decays = 99.93% of  $K_L$  width  
KTeV combines ratios to extract BRs

Our fit uses these BR ratios  
Correlations available

**NA48**  
PLB 602 (2004)

$K_L$  beam only, 2-track sample, 80M events (6M signal)

$$\frac{\text{BR}(K_{e3})}{\text{BR}(2 \text{ track})} = 0.4978(35) \approx \frac{\text{BR}(K_{e3})}{1 - \text{BR}(3\pi^0)}$$

**NA48**  
preliminary

From  $\text{BR}(K_L \rightarrow 3\pi^0)/\text{BR}(K_S \rightarrow 2\pi^0)$

$$\text{BR}(3\pi^0) = 0.1966(34)$$

# $K_L$ branching ratios and lifetime

**KLOE**

PLB 632 (2006)

**Absolute BRs:**  $K_L$  decays tagged by  $K_S \rightarrow \pi^+\pi^-$

Errors on absolute BRs dominated by error on  $\tau_L$

Dependence on  $\tau_L$  of geometrical efficiency known

For KLOE results: Set  $\sum_x \text{BR}(K_L \rightarrow x) = 1$  and solve for  $\tau_L$

For our fit: Use unconstrained BRs with dependence on  $\tau_L$

$$\text{BR}^{(0)}(Ke3) = 0.4049(21)$$

$$\text{BR}^{(0)}(K\mu3) = 0.2726(16)$$

$$\text{BR}^{(0)}(3\pi^0) = 0.2018(24)$$

$$\text{BR}^{(0)}(\pi^+\pi^-\pi^0) = 0.1276(15)$$

at  $\tau_L^{(0)} = 51.54$  ns, with  
 $d \text{BR}/\text{BR} = 0.67 d\tau_L/\tau_L$

Correlations available

**KLOE**

PLB 626 (2005)

**Lifetime:** Direct measurement with  $K_L \rightarrow 3\pi^0$  events

High, uniform reconstruction efficiency over  $0.4\lambda_L$

Independent of BR measurement

$$\tau_L = 50.92(30) \text{ ns}$$

$$\text{cf. Vosburgh '72: } \tau_L = 51.54(44) \text{ ns}$$

$$K_L \rightarrow \pi^+ \pi^-$$

**New measurements of  $K_L \rightarrow \pi^+ \pi^- (\gamma)$  also useful in global fit**

**KTeV**

PRD 70 (2004)

$$\mathbf{BR}(\pi^+ \pi^- / Ke3) = \mathbf{4.856(29)} \times 10^{-3}$$

1 of 5 ratios in  $K_L$  BR analysis

Contribution from direct emission (DE) negligible

**KLOE**

PLB 638 (2006)

$$\mathbf{BR}(\pi^+ \pi^- / K\mu3) = \mathbf{7.275(68)} \times 10^{-3}$$

Fully inclusive of DE component

**NA48**

hep-ex/0611052

$$\mathbf{BR}(\pi^+ \pi^- / Ke3) = \mathbf{4.826(27)} \times 10^{-3}$$

Residual DE contribution of 0.19% subtracted

For consistency and to better satisfy  $\Sigma \text{BR} = 1$  in global fit,  
DE contribution of **1.52(7)%\*** added to **KTeV** and **NA48** results

\* From E731 '93, KTeV '01 and KTeV '06  $K_L \rightarrow \pi^+ \pi^- \gamma$  results

# Fit to $K_L$ BR and lifetime measurements

Availability of **comprehensive new  $K_L$  data set** with proper radiative corrections has **radically changed the PDG fit**

- **2004 fit used 50 measurements** - all but a handful pre-1990
- **2006 fit uses 17 measurements** - all but 2 post-2003

**Compared to PDG 2006, our fit:**

- Uses the KTeV BR ratios and KLOE BRs quoted before application of constraints
  - In each case, PDG uses the constrained results and removes the  $3\pi^0$  from the fit
- Uses the NA48 preliminary BR( $3\pi^0$ ) and new BR( $\pi^+\pi^-$ ) measurements
- Implements consistent treatment of DE for  $K_L \rightarrow \pi^+\pi^-$



# Results of fit to $K_L$ BRs, $\tau$

18 input measurements:

5 **KTeV** ratios

**NA48** BR( $Ke3/2$  track)

**NA48** BR( $3\pi^0$ ) [prelim.]

4 **KLOE** BRs

with dependence on  $\tau_L$

**KLOE**, **NA48** BR( $\pi^+\pi^-/Kl3$ )

**KLOE**, **NA48** BR( $\gamma\gamma/3\pi^0$ )

**PDG** ETAFIT BR( $2\pi^0/\pi^+\pi^-$ )

**KLOE**  $\tau_L$  from  $3\pi^0$

**Vosburgh '72**  $\tau_L$

Parameter	Value	$S$
BR( $Ke3$ )	0.40571(89)	1.4
BR( $K\mu3$ )	0.27055(81)	1.3
BR( $3\pi^0$ )	0.19447(103)	1.4
BR( $\pi^+\pi^-\pi^0$ )	0.12588(78)	1.5
BR( $\pi^+\pi^-$ )	$1.9860(74)\times 10^{-3}$	1.2
BR( $2\pi^0$ )	$8.603(54)\times 10^{-4}$	1.7
BR( $\gamma\gamma$ )	$5.453(43)\times 10^{-4}$	1.1
$\tau_L$	51.148(211) ns	1.1

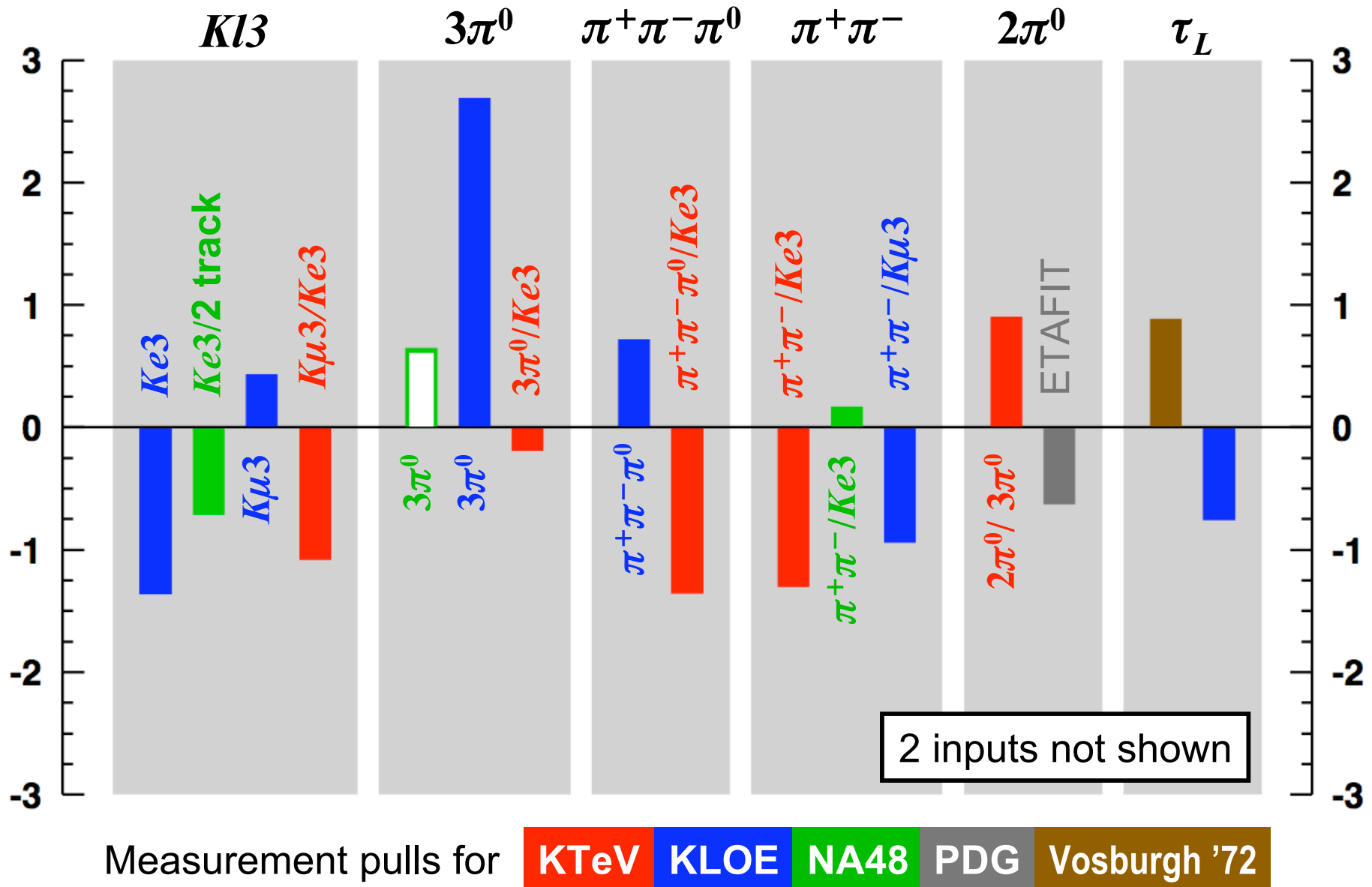
$\chi^2/\text{ndf} = 20.2/11$  (Prob = 4.3%)

compare PDG '06: 14.8/10 (14%)

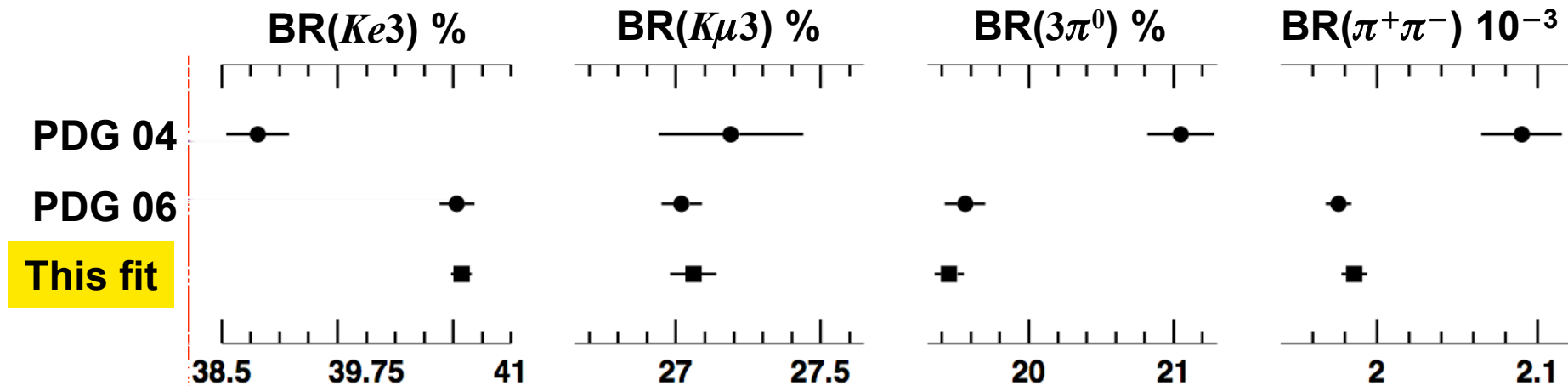
1 constraint:  $\Sigma \text{BR} = 1$

- Without preliminary results & DE correction, our fit reproduces PDG
- Including ETAFIT pulls down  $2\pi^0$  &  $3\pi^0$  BRs, clashes with KLOE  $3\pi^0$
- Even with scale factor, our fit reduces error on  $Ke3$

# Comparison: $K_L$ BR fit vs. data



# Evolution of $K_L$ BRs



Differences between our fit and PDG '06 are minor

Since PDG '04 fit:

- BR( $Ke3$ ) significantly increased
- BR( $K\mu3$ ) changed little
- BR( $3\pi^0$ ) significantly decreased

Proper radiative corrections  
Especially for  $Ke3$   
Exclusion of NA31 measurements  
Significantly reduces errors

$R_{\mu e}$  decreases from 0.701(9) to 0.6668(26)  
Better agreement with lepton universality

# BR( $K_S \rightarrow \pi e \nu$ ) and $K_S$ lifetime

**KLOE**

PLB 636 (2006)

Using tagged  $K_S$  beam

$$\text{BR}(K_S \rightarrow \pi e \nu) / \text{BR}(K_S \rightarrow \pi^+ \pi^-) = 10.19(13) \times 10^{-4}$$

**KLOE**

EPJC 48 (2006)

410 pb<sup>-1</sup>, averaged with KLOE '02 result (17 pb<sup>-1</sup>)

$$\text{BR}(K_S \rightarrow \pi^+ \pi^-) / \text{BR}(K_S \rightarrow \pi^0 \pi^0) = 2.2459(54)$$

**These two measurements completely determine main  $K_S$  BRs**

$$\text{BR}(K_S \rightarrow \pi e \nu) = 7.046(91) \times 10^{-4}$$

**PDG**

$$\tau_S = 0.08958(5) \text{ ns}$$

From fit to  $CP$  parameters, does not assume  $CPT$

Dominated by **NA48 '02** and **KTeV '03**  $\tau_S$  values

# Preliminary results on $K_{l3}^{\pm}$ BRs

**NA48/2**  
preliminary

**New results on  $\text{BR}(K^{\pm}e3)/\text{BR}(\pi\pi^0)$  and  $\text{BR}(K^{\pm}\mu3)/\text{BR}(\pi\pi^0)$ !**

Ratio for  $K^{\pm}e3$  higher than previous preliminary value

Statistics dominated; main systematic from FF for acceptance

$$\text{BR}(K^{\pm}e3)/\text{BR}(\pi\pi^0) = 0.2496(9)(4)$$

$$\text{BR}(K^{\pm}\mu3)/\text{BR}(\pi\pi^0) = 0.1637(6)(3)$$

Correlation provided

**ISTRA+**  
preliminary

**Updated preliminary value**

$$\text{BR}(K^{\pm}e3)/\text{BR}(\pi\pi^0) = 0.2449(4)(15)$$

**KLOE**  
preliminary

**Absolute  $\text{BR}(K^{\pm}e3)$  and  $\text{BR}(K^{\pm}\mu3)$  measurements**

Separate measurements for each charge

Tagged by  $K \rightarrow \mu\nu$  and  $K \rightarrow \pi\pi^0$ : 8 measurements total

$$\text{BR}^{(0)}(K^{\pm}e3) = 5.047(92)\%$$

$$\text{BR}^{(0)}(K^{\pm}\mu3) = 3.310(81)\%$$

at  $\tau_{\pm}^{(0)} = 12.36$  ns, with  $d \text{BR}/\text{BR} = -0.50 d\tau_{\pm}/\tau_{\pm}$

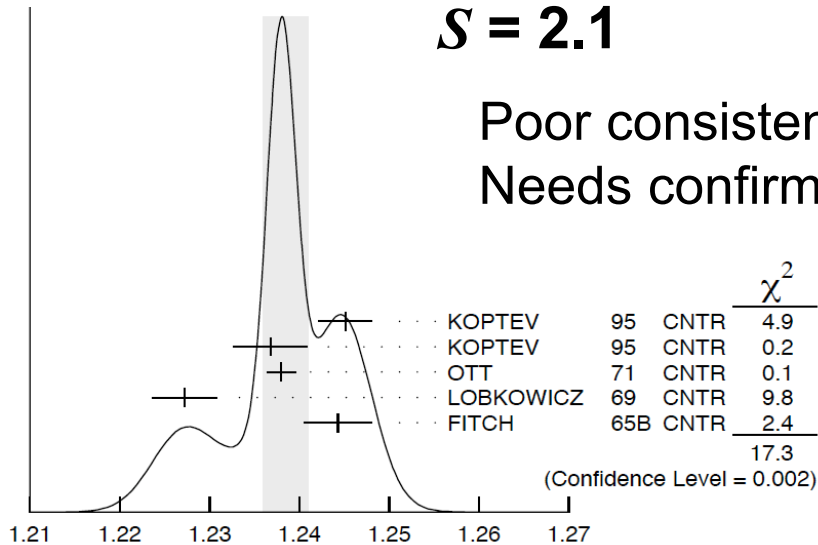
# $K^\pm$ lifetime

PDG  
average

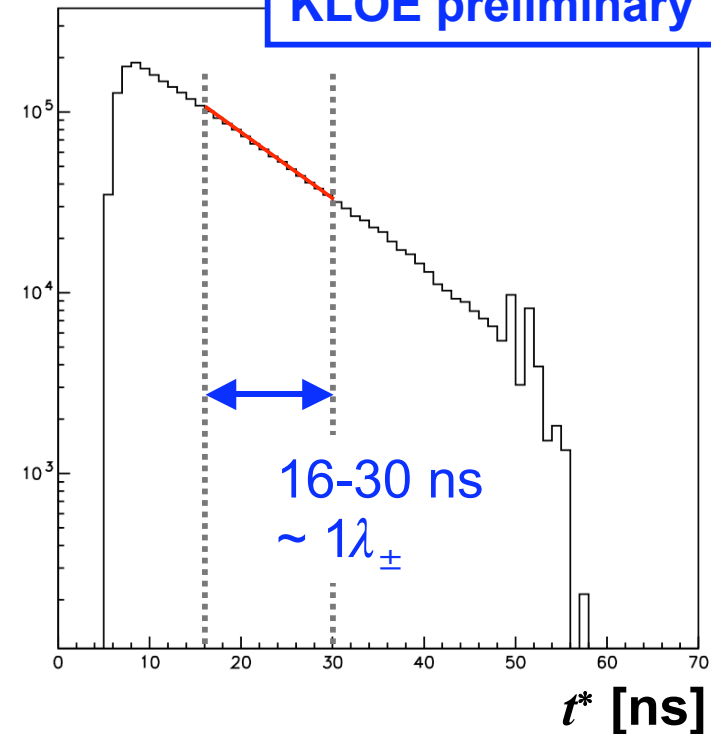
**12.385(25) ns**

**$S = 2.1$**

Poor consistency  
Needs confirmation



KLOE preliminary



KLOE  
preliminary

Fit to  $t^*$  distribution from decay length  
Use all  $K \rightarrow \mu\nu$ -tagged vertices in drift chamber

**$\tau_{\pm} = 12.367(78) \text{ ns}$**

# Fit to $K^\pm$ BR and lifetime measurements

**Not possible to fit to only new  $K^\pm$  data (unlike for  $K_L$ )**

Only  $Kl3$  and  $Kl3/\pi\pi^0$  have been measured recently

- $Kl3$  and  $\pi\pi^0$  highly correlated in fit ( $-0.64$ ,  $-0.79$  for  $Ke3$ ,  $K\mu3$ )
- New measurement of  $\pi\pi^0$  is crucial

For channels like  $\pi\pi^0$  and  $\pi^+\pi^+\pi^-$ , fit rests heavily on Chiang '72

- No radiative corrections
- 6 BRs constrained by  $\Sigma \text{BR} = 1$ , correlations unavailable

**Compared to PDG 2006, our fit:**

- Uses preliminary results from KLOE, ISTRA+, and NA48/2
- Does not use  $\text{BR}(\pi^0\pi^0e\nu)$  as a free parameter

# Results of fit to $K^\pm$ BRs, $\tau$

30 input measurements:

5 older  $\tau$  values in PDG

**KLOE**  $\tau$

**KLOE** BR( $\mu\nu$ )

**KLOE**  $Ke3$ ,  $K\mu3$  BRs

with dependence on  $\tau$

**ISTRA+** BR( $Ke3/\pi\pi^0$ )

**NA48/2**  $Ke3/\pi\pi^0$ ,  $K\mu3/\pi\pi^0$

**E865** BR( $Ke3/KDal$ )

6 **Chiang '72** BRs

3 **old** BR( $\pi\pi^0/\mu\nu$ )

2 **old** BR( $Ke3/2$  body)

3  $K\mu3/Ke3$  (2 **old**)

2 **old** + 1 **KLOE** results on  $3\pi$

1 **constraint:  $\Sigma$  BR = 1**

Parameter	Value	$S$
BR( $\mu\nu$ )	63.442(145)%	1.3
BR( $\pi\pi^0$ )	20.702(109)%	1.3
BR( $\pi\pi\pi$ )	5.5921(305)%	
BR( $Ke3$ )	5.120(38)%	1.6
BR( $K\mu3$ )	3.3853(205)%	1.2
BR( $\pi\pi^0\pi^0$ )	1.7592(234)%	1.1
$\tau_\pm$	12.3840(213) ns	1.8

$\chi^2/\text{ndf} = 48.5/24$  (Prob = 0.22%)

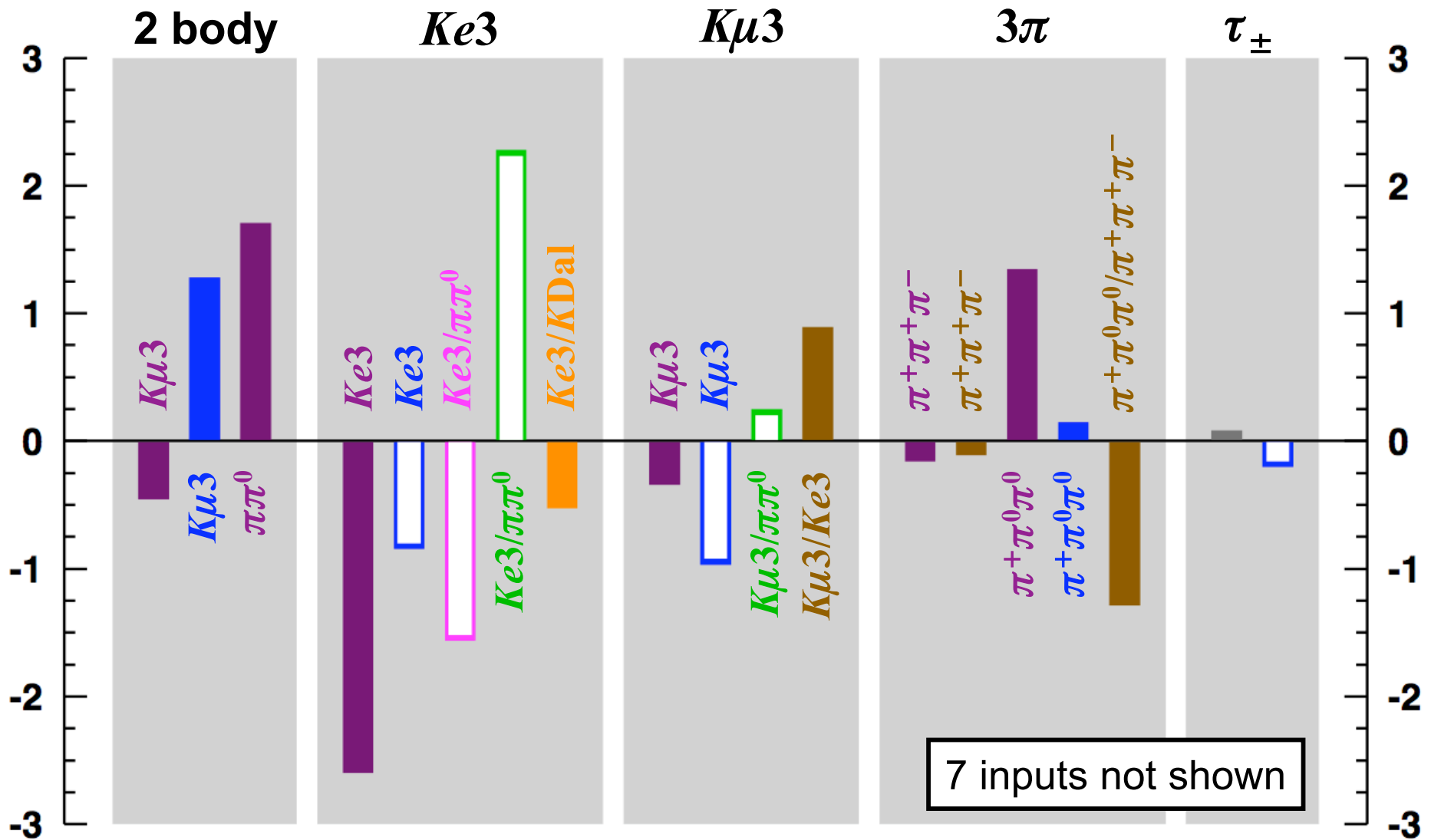
compare PDG '06: 30.0/19 (5.2%)

**Improves to  $\chi^2/\text{ndf} = 31.2/20$  (5.3%)**

with no changes to central values or errors, if 5 older  $\tau_+$  measurements replaced by PDG avg (with  $S = 2.1$ )

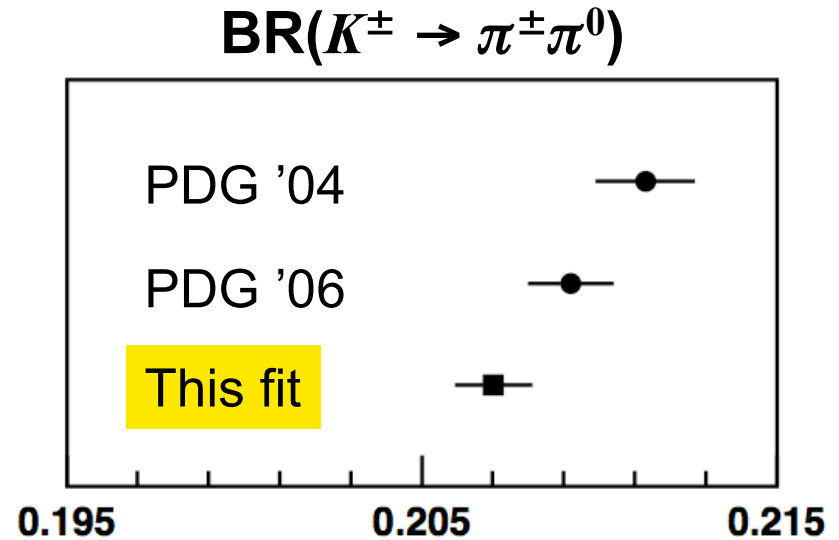
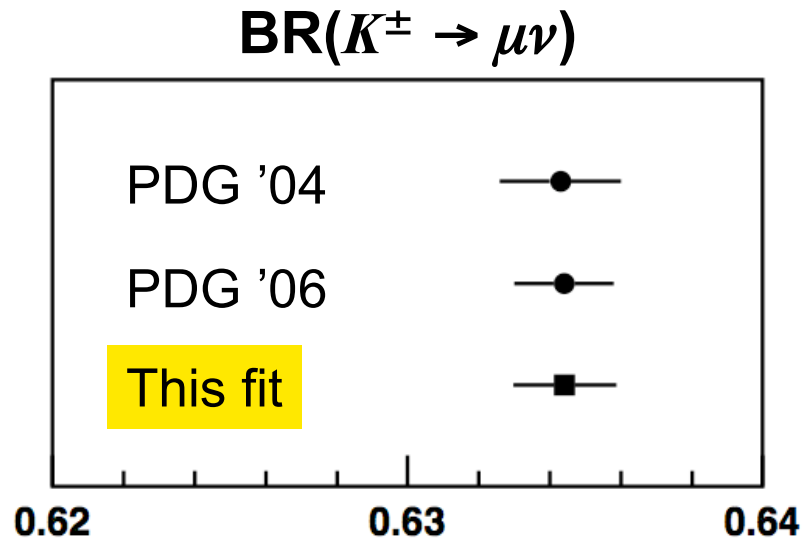
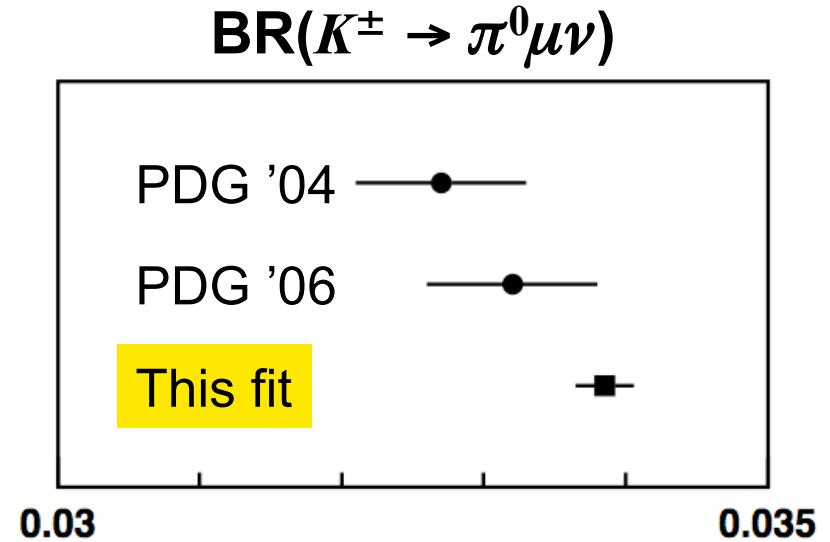
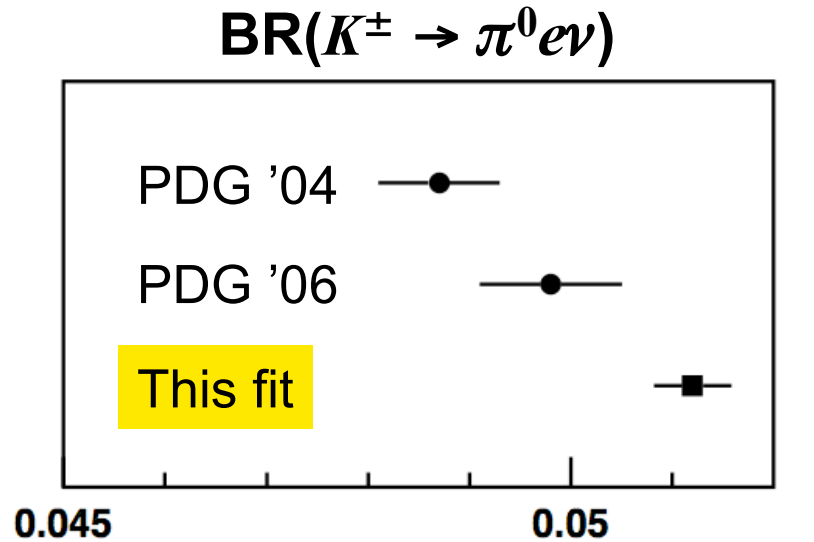


# Comparison: $K^\pm$ BR fit vs. input data



Pulls from **Chiang '72** **KLOE** **ISTRA+** **E865** **NA48/2** **others** **PDG avg**

# Evolution of $K^\pm$ BRs



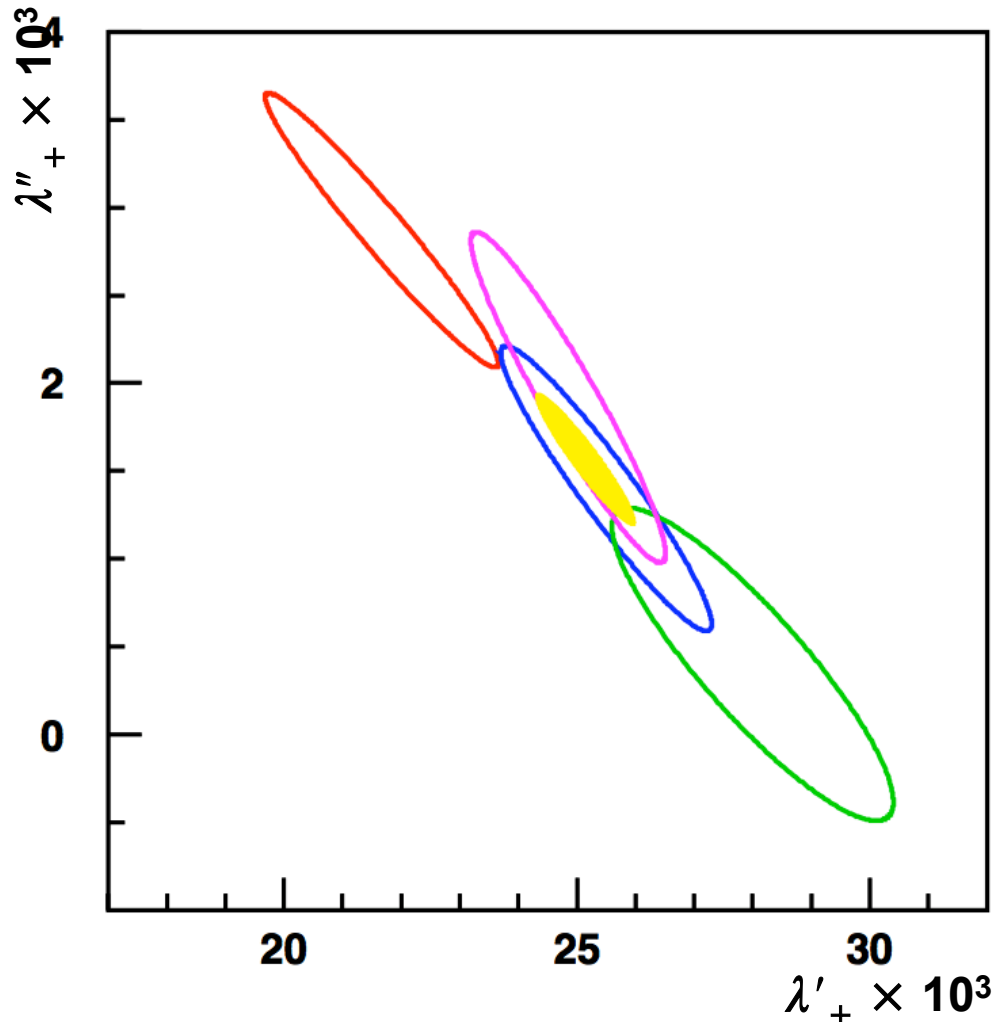
# Current data on $K_{l3}$ form-factor slopes

	Note	$\lambda'_+ \times 10^3$	$\lambda''_+ \times 10^3$	$\lambda_0 \times 10^3$
<b>KTeV</b> PRD 70 (2004)	$K_L e3$	$21.7 \pm 2.0$	$2.9 \pm 0.8$	
	$K_L \mu3$	$17.0 \pm 3.7$	$4.4 \pm 1.5$	$12.8 \pm 1.8$
<b>KLOE</b> PLB 636 (2006)	$K_L e3$	$25.5 \pm 1.8$	$1.4 \pm 0.8$	
<b>NA48</b> PLB 604 (2004)	$K_L e3$	$28.0 \pm 2.4$	$0.4 \pm 0.9$	
<b>NA48</b> preliminary	$K_L \mu3$	$16.8 \pm 3.3$	$4.0 \pm 1.4$	$9.1 \pm 1.4$
<b>ISTRA+</b> PLB 581 (2004)	$K^- e3$	$24.9 \pm 1.7$	$1.9 \pm 0.9$	
<b>ISTRA+</b> PLB 589 (2004)	$K^- \mu3$	$23.0 \pm 6.4$	$2.3 \pm 2.3$	$17.1 \pm 2.2$

# $K_{e3}$ slopes: Quadratic fits

Slopes from

**KTeV** **KLOE** **ISTRA+** **NA48** **This fit**



Slope parameters  $\times 10^3$

$$\lambda'_+ = 25.15 \pm 0.87$$

$$\lambda''_+ = 1.57 \pm 0.38$$

$$\rho(\lambda'_+, \lambda''_+) = -0.941$$

$$\chi^2/\text{ndf} = 5.3/6 \text{ (51\%)}$$

Excellent compatibility  
Significance of  $\lambda''_+ > 4\sigma$

$$I(K^0 e3) = 0.15465(21)$$

$$I(K^+ e3) = 0.15901(22)$$

# $K_{\mu 3}$ form-factor slopes

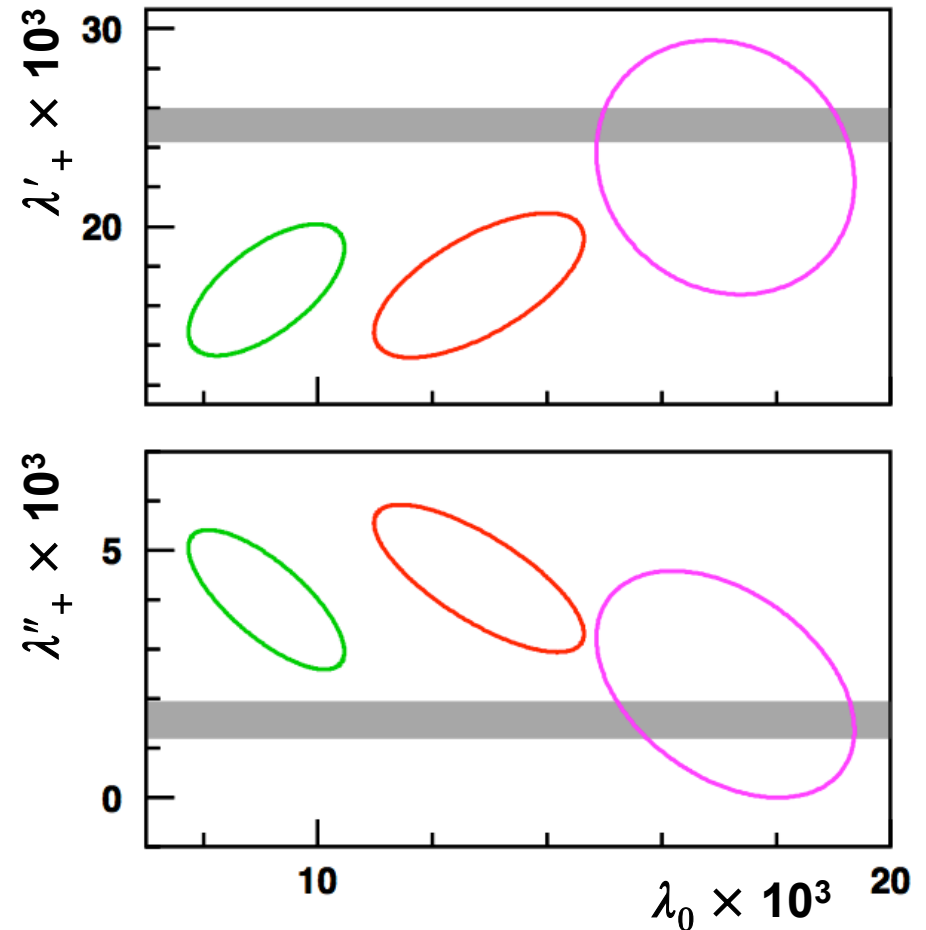
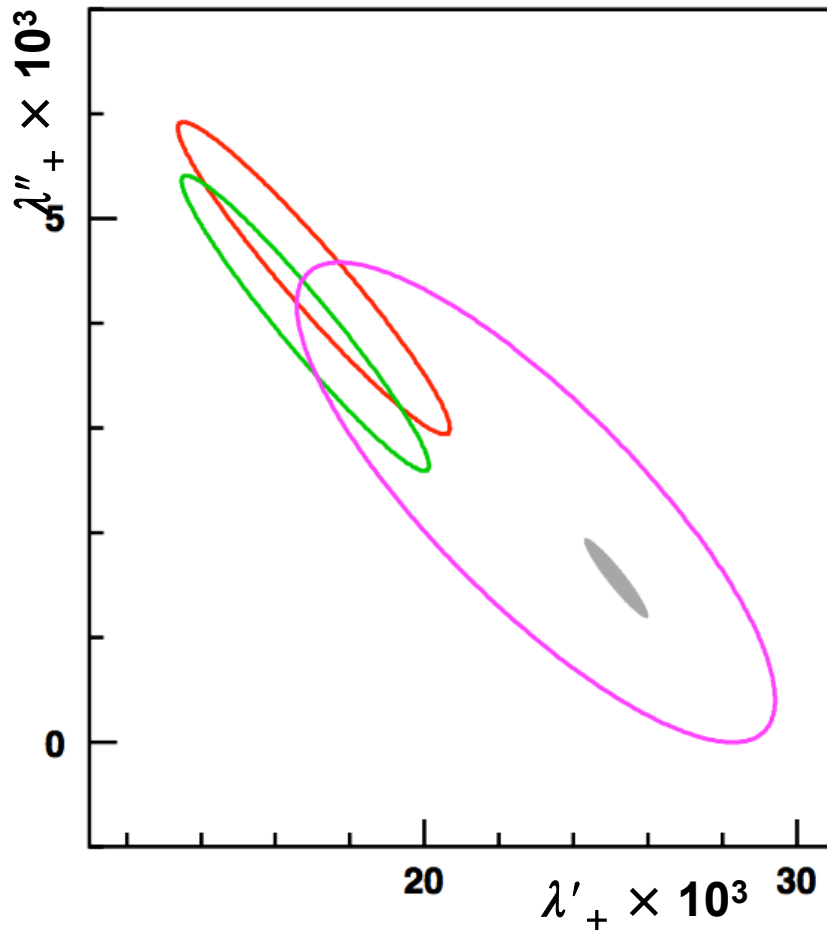
Slopes from

**KTeV  $K\mu 3$**

**ISTRA+  $K\mu 3$**

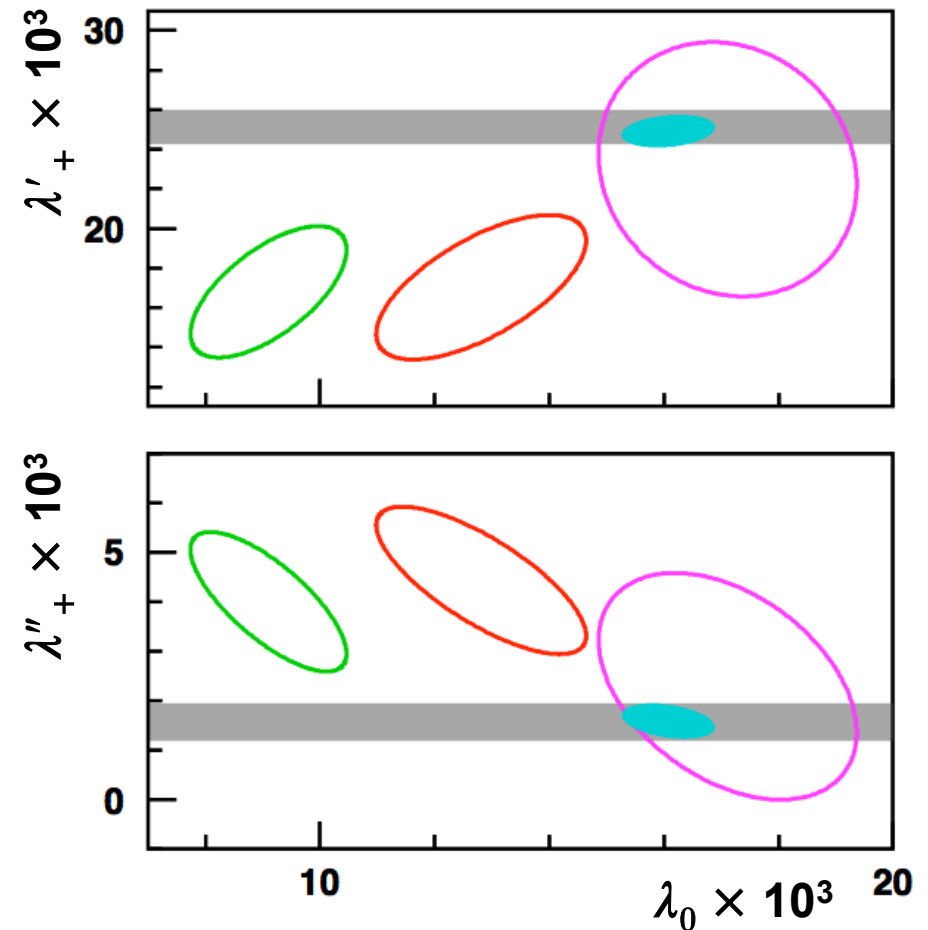
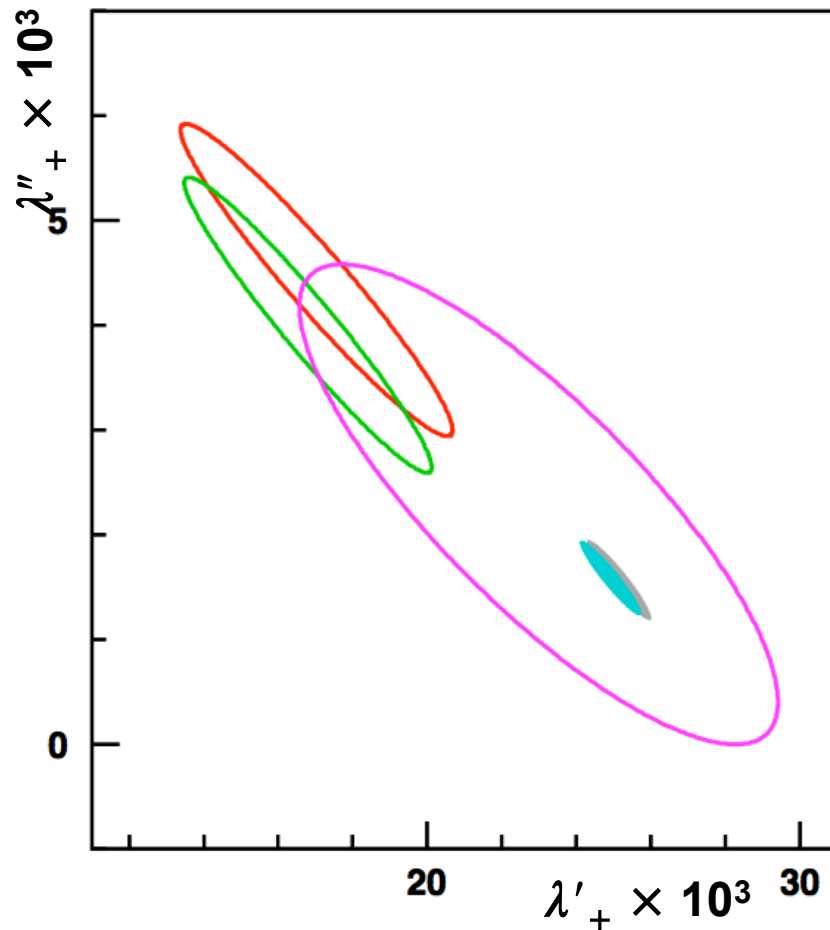
**NA48  $K\mu 3$**

**Fit to  $Ke 3$  data**



# Fit to $K_{l3}$ form-factor slopes

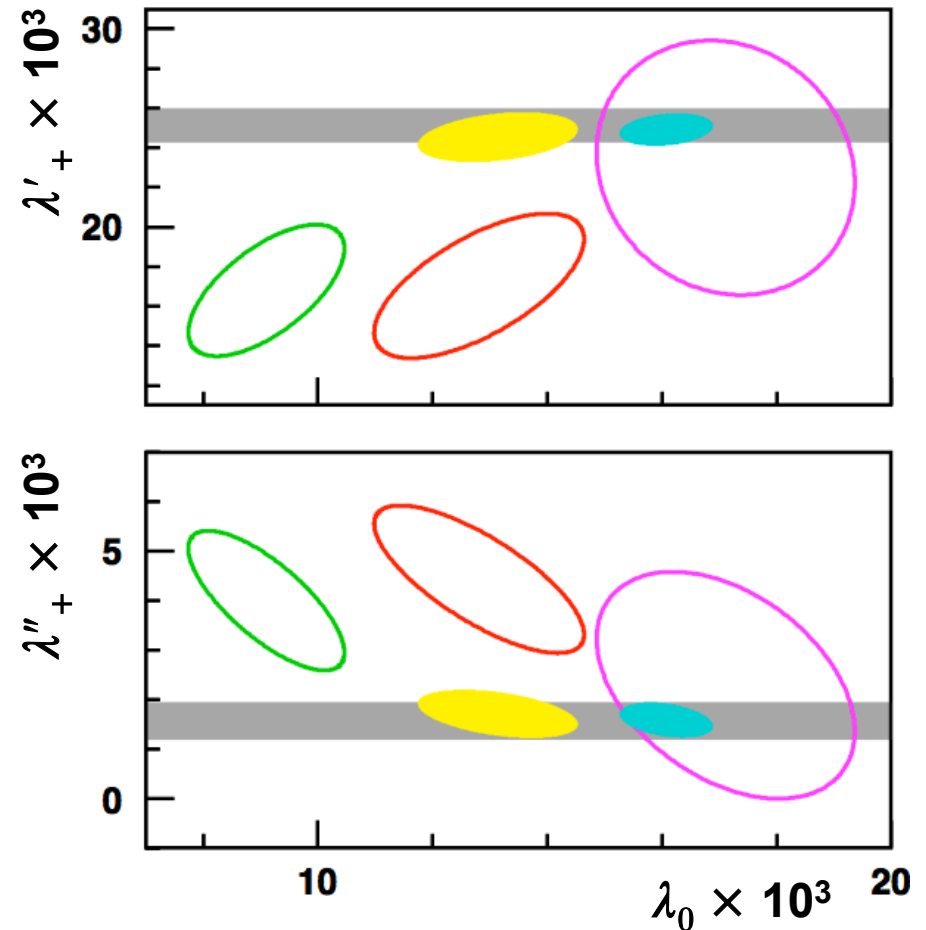
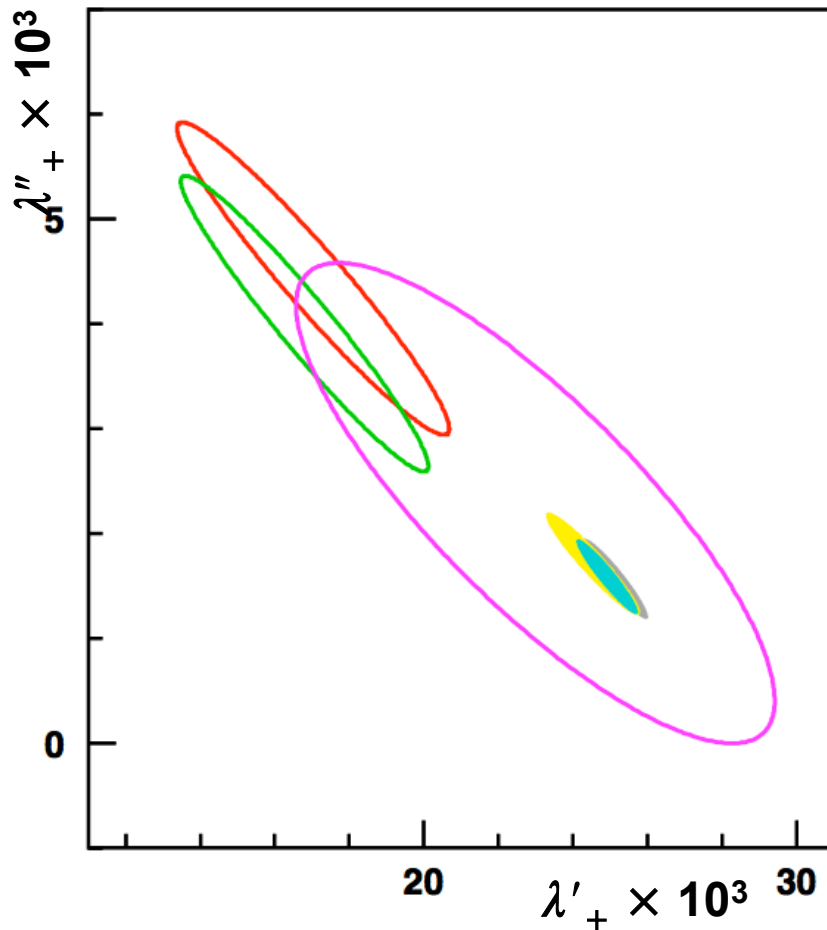
Slopes from **KTeV  $K\mu 3$**  **ISTRA+  $K\mu 3$**  **NA48  $K\mu 3$**  **Fit to  $Ke 3$  data**



**$Kl3$  fit, no NA48  $K\mu 3$ :  $\chi^2=11.9/9$  (21.7%)**

# Fit to $K_{l3}$ form-factor slopes

Slopes from **KTeV  $K\mu 3$**  **ISTRA+  $K\mu 3$**  **NA48  $K\mu 3$**  **Fit to  $Ke 3$  data**



**$Kl3$  fit, no NA48  $K\mu 3$ :  $\chi^2=11.9/9$  (21.7%)**  **$Kl3$  fit, all data,  $\chi^2=58/12$  ( $10^{-6}$ )**

# $K_{l3}$ form-factor slopes: Fit results

Although compatibility poor, no *a priori* reason to exclude NA48  $K\mu 3$  data  
 Inconsistency parameterized by scale factors for fit results

## Slope parameters $\times 10^3$ :

$$\lambda'_+ = 24.54 \pm 1.26 \quad S = 1.6$$

$$\lambda''_+ = 1.71 \pm 0.49 \quad S = 1.4$$

$$\lambda_0 = 13.14 \pm 1.40 \quad S = 2.2$$

$$\chi^2/\text{ndf} = 58/12 (10^{-6})$$

## Correlation coefficients:

	$\lambda'_+$	$\lambda_0$
$\lambda'_+$	-0.95	+0.31
$\lambda''_+$		-0.42

## Integrals

$$I(K^0 e 3) \quad 0.15444(35)$$

$$I(K^+ e 3) \quad 0.15879(35)$$

$$I(K^0 \mu 3) \quad 0.10199(37)$$

$$I(K^+ \mu 3) \quad 0.10493(38)$$

**These results used to evaluate  $|V_{us}| f_+(0)$  for all modes**

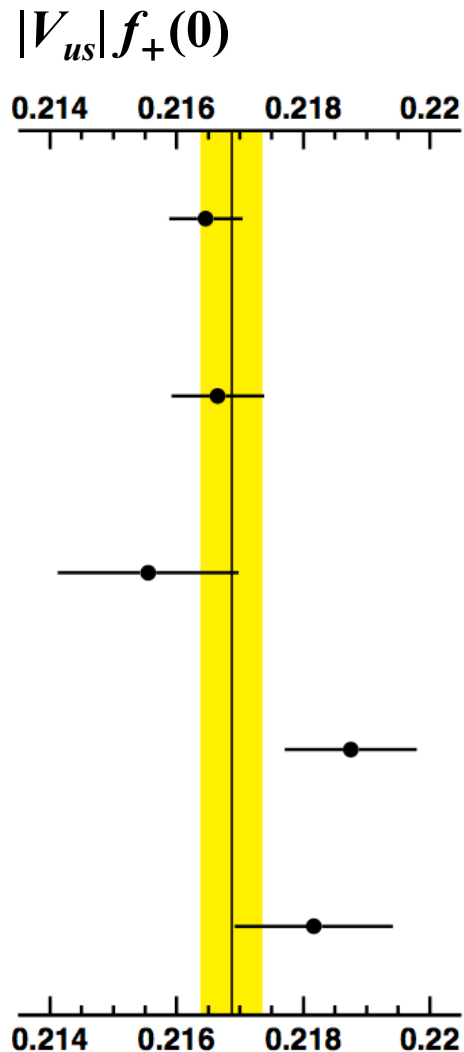


# $SU(2)$ and EM corrections

	$\Delta^{SU(2)}$	$\Delta^{\text{EM}}$
$K^0 e3$	0	+0.55(10)%
$K^0 \mu3$	0	+0.95(15)%
$K^+ e3$	+2.31(22)%	-0.10(16)%
$K^+ \mu3$	+2.31(22)%	+0.20(40)%

- $\Delta^{\text{EM}}$  for full phase space - all measurements assumed fully inclusive
- $\Delta^{SU(2)}$  and  $\Delta^{\text{EM}}(Ke3)$  are ChPT estimates from Cirigliano et al.
- $\Delta^{\text{EM}}(K^0\mu3)$  is hadronic-model estimate from Andre  
 $\Delta^{\text{EM}}(K^0e3) = +0.65(15)$  from Andre agrees with value in table
- $\Delta^{\text{EM}}(K^+\mu3)$  a rough guess, with conservative error  
 Currently the dominant contribution to error on  $|V_{us}|f_+(0)$  from this mode

# $|V_{us}| f_+(0)$ from $K_{l3}$ data



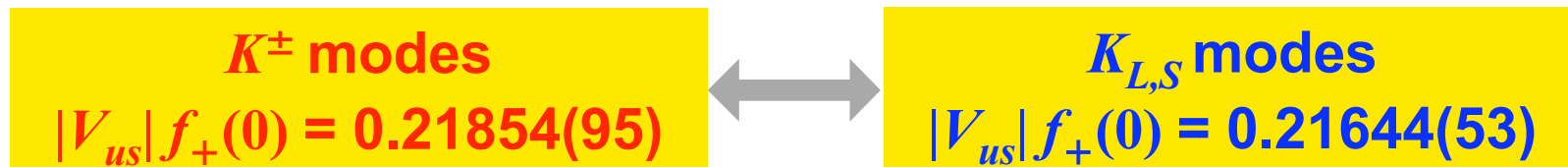
		% err	Approx. contrib. to % err from:			
			BR	$\tau$	$\Delta$	Int
$K_L e3$	<b>0.21646(59)</b>	<b>0.27</b>	0.09	<b>0.19</b>	0.10	0.11
$K_L \mu3$	<b>0.21665(71)</b>	<b>0.33</b>	0.12	<b>0.19</b>	0.15	0.18
$K_S e3$	<b>0.21555(143)</b>	<b>0.66</b>	<b>0.65</b>	0.02	0.10	0.11
$K^\pm e3$	<b>0.21875(104)</b>	<b>0.47</b>	<b>0.37</b>	0.07	0.27	0.11
$K^\pm \mu3$	<b>0.21817(125)</b>	<b>0.57</b>	0.30	0.07	<b>0.45</b>	0.18

**Average:  $|V_{us}| f_+(0) = 0.21686(49)$        $\chi^2/\text{ndf} = 5.0/4$  (29.0%)**

# $|V_{us}|f_+(0): K^\pm$ vs. $K_{L,S}$

Fit 5 modes with separate values of  $|V_{us}|f_+(0)$  for  $K^\pm$  and  $K_{L,S}$  modes

- Using results of overall fit to form-factor slopes
- With  $SU(2)$  corrections for  $K^\pm$  modes [ $\Delta^{SU(2)}_{\text{th}} = 2.31(22)\%$ ]



**2.05 $\sigma$  difference**

$$\chi^2/\text{ndf} = 0.77/3 \text{ (86\%)} \quad \rho = 0.13$$

When fit performed without  $SU(2)$  corrections for  $K^\pm$  modes, obtain an experimental value for  $\Delta^{SU(2)}$

**$K^\pm$  modes, no  $SU(2)$**   
 $|V_{us}|f_+(0) = 0.22359(85)$

$$\Delta^{SU(2)}_{\text{exp}} = 3.30(43)\%$$

# $K_{l3}$ data and lepton universality

For each state of kaon charge, we evaluate:

$$r_{\mu e} = \frac{(R_{\mu e})_{\text{obs}}}{(R_{\mu e})_{\text{SM}}} = \frac{\Gamma_{\mu 3}}{\Gamma_{e 3}} \cdot \frac{I_{e 3} (1 + \delta_{e 3})}{I_{\mu 3} (1 + \delta_{\mu 3})} = \frac{[|V_{us}| f_+(0)]_{\mu 3, \text{obs}}^2}{[|V_{us}| f_+(0)]_{e 3, \text{obs}}^2} = \frac{(G_F^\mu)^2}{(G_F^e)^2}$$

**$K^\pm$  modes**

$$r_{\mu e} = 0.9947(111)$$

Using 2004 BRs\*

$$r_{\mu e} = 1.010(20)$$

**$K_{L,S}$  modes**

$$r_{\mu e} = 1.0022(62)$$

Using 2004 BRs\*

$$r_{\mu e} = 1.052(15)$$

**Average**

(incl.  $\rho = 0.11$ )

$$r_{\mu e} = 1.0007(56)$$

Compare sensitivity from  $\pi \rightarrow l\nu$  decays:

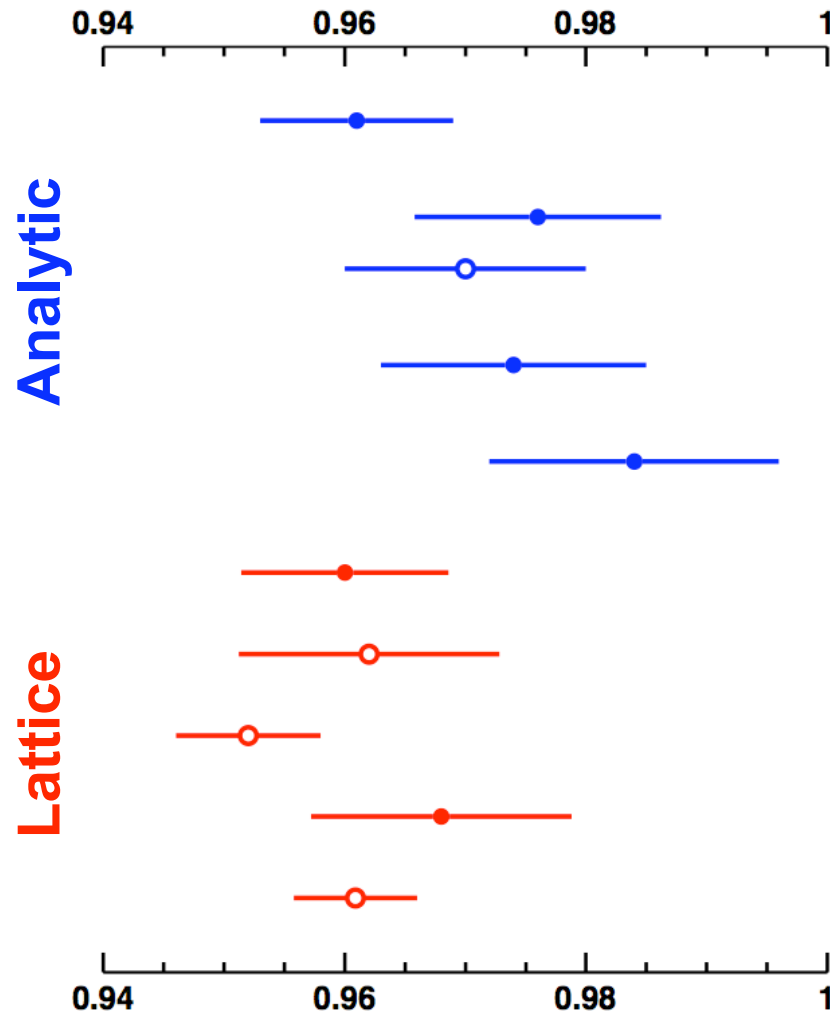
$$(r_{e\mu})_{\pi l 2} = 0.9966(30)$$

see Erler, Ramsey-Musolf '06

\*Assuming current values for form-factor slopes

# Evaluations of $f_+(0)$

$f_+(0)$



LR 84 quark model

BT 03 } ChPT + LR 84  
Cir 05 }

JOP 04 ChPT + disp

C<sup>+</sup> 05 ChPT + 1/N<sub>c</sub>

SPQcdR 05 N<sub>f</sub> = 0

FNAL/MILC/HPQCD 04 N<sub>f</sub> = 2<sub>stag</sub> + 1

JLQCD 05 N<sub>f</sub> = 2

RBC 06 N<sub>f</sub> = 2<sub>DW</sub>

UKQCD/RBC 06 (revised) N<sub>f</sub> = (2+1)<sub>DW</sub>

Leutwyler & Roos estimate (LR 84) still widely used:  $f_+(0) = \mathbf{0.961(8)}$

Lattice evaluations generally agree well with this value

# $|V_{us}|$ from $K_{l3}$ data and CKM unitarity

$$K_{l3} \text{ average: } |V_{us}| f_+(0) = 0.21686(49)$$

Leutwyler & Roos '84  
 $f_+(0) = 0.961(8)$

Conventional choice for value of  $f_+(0)$  until  
a definitive evaluation becomes available

$$K_{l3} \text{ average: } |V_{us}| = 0.2257(20)$$

Marciano & Sirlin '06  
 $|V_{ud}| = 0.97377(27)$

Average from  $0^+ \rightarrow 0^+ \beta$  decays with recent  
evaluation of EW radiative corrections

$$V_{ud}^2 + V_{us}^2 - 1 = -0.0008(10)$$

**Compatibility with unitarity  $-0.8\sigma$**

# $V_{ud}$ , $V_{us}$ & $\text{BR}(K^\pm \rightarrow \mu^\pm \nu)$

**Marciano '04**

$$\frac{\Gamma(K^\pm \rightarrow \mu^\pm \nu(\gamma))}{\Gamma(\pi^\pm \rightarrow \mu^\pm \nu(\gamma))} = \frac{|V_{us}|^2 f_K^2 m_K (1 - m_\mu^2/m_K^2)^2}{|V_{ud}|^2 f_\pi^2 m_\pi (1 - m_\mu^2/m_\pi^2)^2} \times 0.9930(35)$$

Uncertainty from SD virtual corrections  $\longrightarrow$

**MILC '06**  
**preliminary**

$$f_K/f_\pi = 1.208(2)^{(+7}_{-14)}$$

$$N_f = (2+1)_{\text{stag}}$$

Cancellation of lattice-scale uncertainties

**KLOE**  
PLB 636 (2006)

$$\text{BR}(K^+ \rightarrow \mu^+ \nu(\gamma)) = 0.6366(17)$$

Uses  $K^- \rightarrow \mu^- \nu$  to tag 2-body  $K$  decays

Counts  $K^+ \rightarrow \mu^+ \nu$  from decay-momentum spectrum

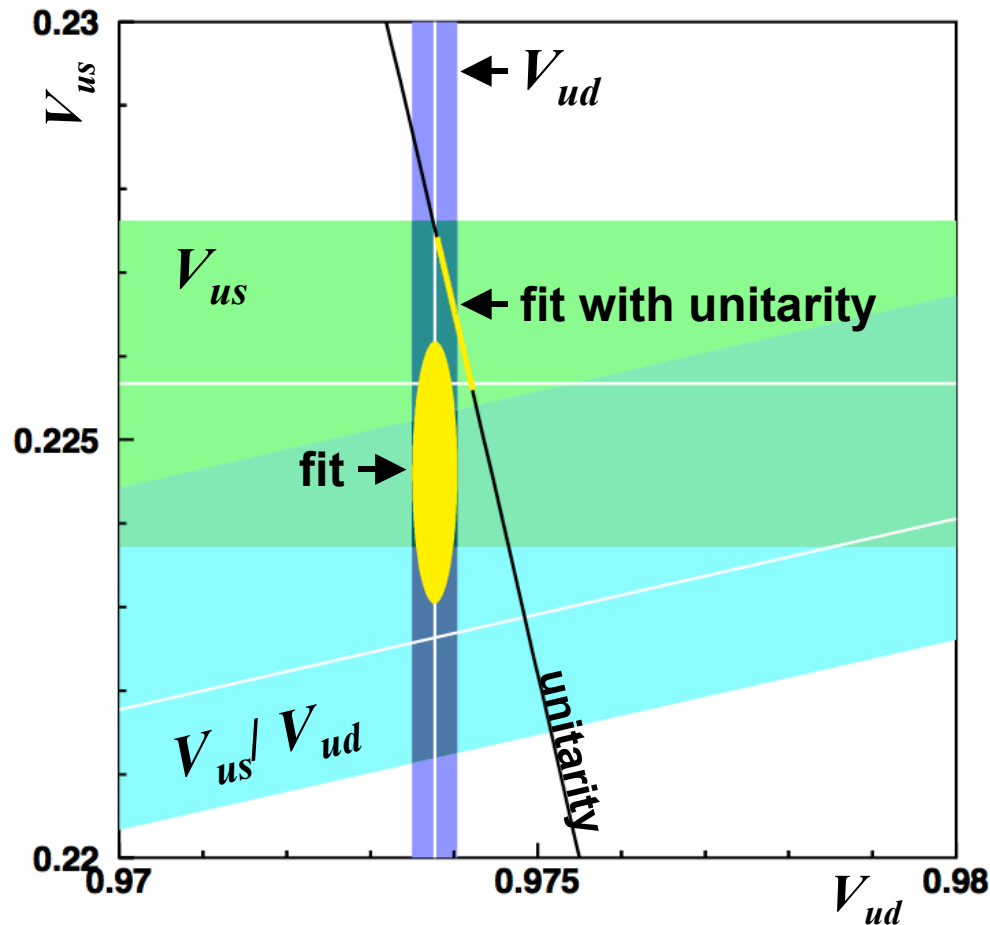
Use KLOE  $\text{BR}(K^+ \rightarrow \mu^+ \nu(\gamma))$  instead of value from BR/lifetime fit:  
Error slightly larger, but radiative contribution under better control

$$V_{us}/V_{ud} = 0.2286^{(+27}_{-15)}$$

# $V_{ud}$ , $V_{us}$ & $\text{BR}(K^\pm \rightarrow \mu^\pm \nu)$

$f_+(0)$  from LR 84

$|V_{us}| = 0.2257(20)$  from  $Kl3$



Fit results, no constraint:

$$\begin{aligned}
 V_{ud} &= 0.97377(27) \\
 V_{us} &= 0.2246(16) \\
 \chi^2/\text{ndf} &= 0.85/1 \quad (36\%)
 \end{aligned}$$

Fit results, unitarity constraint:

$$\begin{aligned}
 V_{ud} &= 0.97402(22) \\
 V_{us} &= 0.2265(9) \\
 \chi^2/\text{ndf} &= 3.04/2 \quad (22\%)
 \end{aligned}$$

Agreement with unitarity at  $1.2\sigma$



# Summary and outlook

- Several new  $K^\pm$  measurements! None yet published
- BR/lifetime fits for both  $K_L$  and  $K^\pm$  have  $\chi^2$  probability  $\sim 5\%$   
For  $K^\pm$ , new measurements in normalization channels could help
- New NA48  $K\mu 3$  form-factor slopes disagree with other data
- Accuracy of  $\Delta^{SU(2)}$ ,  $\Delta^{\text{EM}}$  a significant issue for charged modes  
Some evidence that  $\Delta^{SU(2)}$  may be underestimated
- Experimental uncertainty on  $|V_{us}|f_+(0)$  at 0.2% level
- Dominant contribution to uncertainty on  $|V_{us}|$  still from  $f_+(0)$
- With  $f_+(0) = 0.961(8)$ , first-row unitarity test satisfied at  $\sim 1\sigma$  level

$$K_B \text{ average: } |V_{us}|f_+(0) = 0.21686(49)$$

# Additional information

# Fit to $K_L$ BRs, $\tau$ : Comparison to PDG

Parameter	This fit		PDG 2006	
	Value	$S$	Value	$S$
$Ke3$	0.40571(89)	1.4	0.4053(15)	2.1
$K\mu3$	0.27055(81)	1.3	0.2702(7)	
$3\pi^0$	0.19447(103)	1.4	0.1956(14)	1.9
$\pi^+\pi^-\pi^0$	0.12588(78)	1.5	0.1256(5)	
$\pi^+\pi^-$	$1.9860(74) \times 10^{-3}$	1.2	$1.976(8) \times 10^{-3}$	
$2\pi^0$	$8.603(54) \times 10^{-4}$	1.7	$8.69(4) \times 10^{-4}$	1.1
$\gamma\gamma$	$5.453(43) \times 10^{-4}$	1.1	$5.48(5) \times 10^{-4}$	1.2
$\tau$	51.148(211) ns	1.1	51.14(21) ns	
<b>18 measurements</b>		<b>17 measurements</b>		
$\chi^2/\text{ndf} = 20.2/11$ (4.3%)		$\chi^2/\text{ndf} = 14.8/10$ (14.0%)		

# Fit to $K^\pm$ BRs, $\tau$ : Comparison to PDG

Parameter	This fit		PDG 2006	
	Value	$S$	Value	$S$
$\mu\nu$	63.442(145)%	1.3	63.44(14)%	1.2
$\pi\pi^0$	20.702(109)%	1.3	20.92(12)%	1.1
$\pi\pi\pi$	5.5921(305)%		5.590(31)%	1.1
$Ke3$	5.120(38)%	1.6	4.98(7)%	1.3
$K\mu3$	3.3853(205)%	1.2	3.32(6)%	1.2
$\pi\pi^0\pi^0$	1.7592(234)%	1.1	1.757(24)%	1.1
$\pi^0\pi^0e\nu$	Not in fit		$2.2(4) \times 10^{-5}$	
$\tau$	12.3840(213) ns	1.8	12.385(24) ns	2.1
<b>30 measurements</b>		<b>26 measurements</b>		
$\chi^2/\text{ndf} = 48.5/24$ (0.22%)		$\chi^2/\text{ndf} = 30.0/19$ (5.2%)		

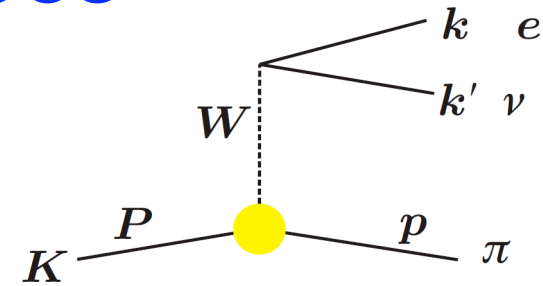
# $K_{\mu 3}$ form-factor slopes

Hadronic matrix element:

$$\langle \pi | J_\alpha | K \rangle = f(0) \times [\tilde{f}_+(t)(P+p)_\alpha + \tilde{f}_-(t)(P-p)_\alpha]$$

$f_-(t)$  term only important for  $K_{\mu 3}$ .

For  $K_{\mu 3}$ , use  $f_+(t)$  and  $f_0(t) = f_+(t) + \frac{t}{m_K^2 - m_{\pi^+}^2} f_-(t)$



For  $V_{us}$ , need integral over phase space of squared matrix element

Expand form factor:

Linear:  $\tilde{f}_{+,0}(t) = 1 + \lambda_{+,0} [t/m_{\pi^+}^2]$

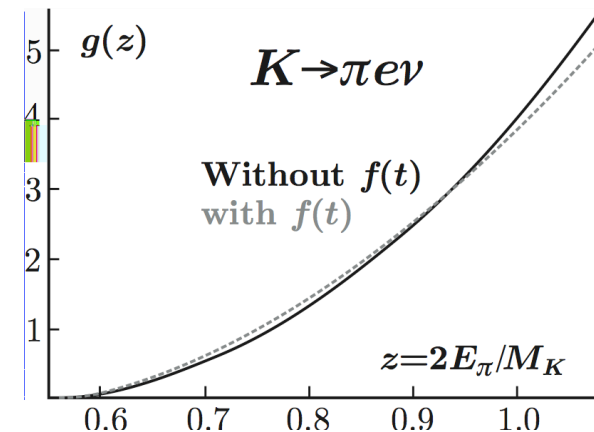
Quadratic:  $\tilde{f}_{+,0}(t) = 1 + \lambda'_{+,0} [t/m_{\pi^+}^2] + 1/2 \lambda''_{+,0} [t/m_{\pi^+}^2]^2$

Fits to  $t$ -distribution give poor sensitivity to quadratic terms

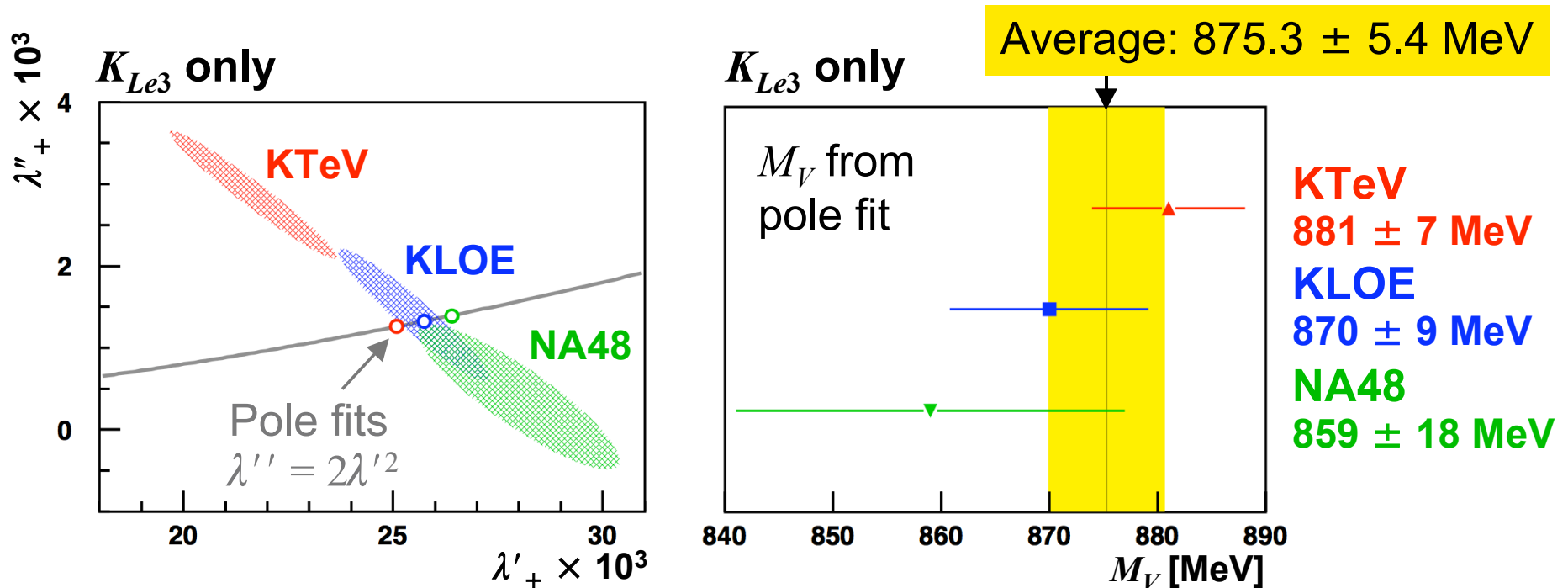
Polar fit:

$$\tilde{f}_{+,0}(t) = \frac{M_{V,S}^2}{M_{V,S}^2 - t} \quad \lambda' = (m_{\pi^+}/M)^2$$

$$\lambda'' = 2\lambda'^2$$



# $K_{e3}$ slopes: Quadratic vs. pole fits



$K_{Le3}$ data	$P(\chi^2)$ fit Quad	$K_{Le3}$ integral Quad	$P(\chi^2)$ fit Pole	$K_{Le3}$ integral Pole	Difference
<b>KTeV</b>	54%	0.15378(51)	43%	0.15449(25)	<b>+0.46%</b>
<b>KLOE</b>	92%	0.15472(42)	92%	0.15489(33)	<b>+0.11%</b>
<b><math>K_{Le3}</math> avg</b>		0.15456(29)		0.15469(19)	<b>+0.09%</b>

# Beyond quadratic and pole fits

## Hill, PRD 74 (2006):

Power series expansion based on analyticity of form factors

Constraints from crossing symmetry, e.g., bounds on  $f_+(t)$  from  $\tau \rightarrow K\pi\nu$  data

Rigorous estimate of error from truncation of series expansion

**KTeV**

**PRD 70 (2004)**

Refit  $Ke3$  data using Hill parameterization

$$I(K^0e3) = 0.15392(48)_{\text{exp}}(6)_{\text{th}}$$

## Bernard et al., PLB 638 (2006):

Dispersion relation for  $\ln f_0(t)$  subtracted at  $t = 0$  and  $t = m_K^2 - m_\pi^2$ , giving:

$$f_0(t) = \exp \left[ \frac{t}{m_K^2 - m_\pi^2} (\ln C - G(t)) \right] \quad G(t) \text{ evaluated using } K\pi \text{ scattering data and given as a polynomial}$$

1 fit parameter:  $\ln C = \ln f_0(m_K^2 - m_\pi^2)$

Value of  $\ln C$  a test for right-handed quark currents

**NA48**

**preliminary**

From new analysis of  $K\mu3$  form-factor slopes

$$\ln C = 0.1533(138)$$

# $|V_{us}|f_+(0): K_{L,S} \text{ vs. } K^\pm$

Using separate fit results for form-factor slopes:

## $K^\pm$ only, $e3$ and $\mu3$ :

ISTRA+  $e3, \mu3$

$$\begin{aligned} \lambda'_+ &= 24.80 \pm 1.54 \\ \lambda''_+ &= 1.94 \pm 0.86 \\ \lambda_0 &= 16.76 \pm 1.20 \\ \chi^2/\text{ndf} &= 0.100/2 \text{ (95\%)} \\ I(Ke3) &= 0.159097(319) \\ I(K\mu3) &= 0.105949(298) \end{aligned}$$

## $K_L$ only, $e3$ and $\mu3$ :

KTeV avg, KLOE  $e3$ , NA48  $e3, \mu3$

$$\begin{aligned} \lambda'_+ &= 25.26 \pm 1.96 \text{ (} S=1.9\text{)} \\ \lambda''_+ &= 2.20 \pm 0.72 \text{ (} S=1.7\text{)} \\ \lambda_0 &= 11.14 \pm 1.61 \text{ (} S=2.1\text{)} \\ \chi^2/\text{ndf} &= 31.2/7 \text{ (0.01\%)} \\ I(Ke3) &= 0.15413(55) \\ I(K\mu3) &= 0.10140(49) \end{aligned}$$

With  $SU(2)$  corrections for  $K^\pm$  modes:

$$\begin{aligned} |V_{us}|f_+(0) &= \mathbf{0.21804(94)} \\ \chi^2/\text{ndf} &= 1.39/1 \text{ (23.9\%)} \end{aligned}$$

$$\begin{aligned} |V_{us}|f_+(0) &= \mathbf{0.21676(61)} \\ \chi^2/\text{ndf} &= 1.26/2 \text{ (53\%)} \end{aligned}$$

With NO  $SU(2)$  corrections for  $K^\pm$  modes:

$$|V_{us}|f_+(0) = \mathbf{0.22308(84)}$$

$$\Delta^{SU(2)}_{\text{exp}} = \mathbf{2.92(48)\%}$$



# Results without NA48 $K\mu 3$ slopes

Slope parameters $\times 10^3$
$\lambda'_+ = 24.92 \pm 0.83$
$\lambda''_+ = 1.59 \pm 0.36$
$\lambda_0 = 16.07 \pm 0.82$
$\chi^2/\text{ndf} = 11.9/9$ (21.7%)

Integrals	
$I(K^0 e 3)$	0.15455(21)
$I(K^+ e 3)$	0.15890(21)
$I(K^0 \mu 3)$	0.10268(21)
$I(K^+ \mu 3)$	0.10565(21)

$ V_{us}  f_+(0)$	
$K_L e 3$	<b>0.21638(56)</b>
$K_L \mu 3$	<b>0.21592(62)</b>
$K_S e 3$	<b>0.21547(142)</b>
$K^+ e 3$	<b>0.21868(102)</b>
$K^- \mu 3$	<b>0.21743(120)</b>

**$Kl3$  average**  
 **$|V_{us}| f_+(0) = 0.21655(43)$**   
 $\chi^2/\text{ndf} = 6.1/4$  (19.0%)

$K^+ - K^0$  diff.:  $2.1\sigma$   
 $\Delta^{SU(2)}_{\text{exp}} = 3.31(43)\%$        $r_{\mu e} = 0.9946(51)$

**$|V_{us}| = 0.2253(19)$**   
**Unitarity test:  $-1.0\sigma$**